

1 **Area-based conservation in the 21st century**

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27 **Abstract**

28 Humanity will soon define a new era for nature – one that seeks to transform decades of
29 underwhelming responses to the global biodiversity crisis. Area-based conservation efforts,
30 which include both protected areas and other effective area-based conservation measures
31 (OECMs), are likely to extend and diversify. But persistent shortfalls in ecological
32 representation and management effectiveness diminish the potential role of area-based
33 conservation in stemming biodiversity loss. Here we show how protected area expansion by
34 national governments since 2010 has had limited success in increasing the coverage across
35 different biodiversity elements (ecoregions; 12,056 threatened species; Key Biodiversity
36 Areas; wilderness areas) and ecosystem services (productive fisheries; carbon services on
37 land and sea). To be more successful post-2020, area-based conservation must contribute
38 more effectively to meeting global biodiversity goals – ranging from preventing extinctions
39 to retaining the most intact ecosystems – and better collaborate with the many Indigenous,
40 community groups and private initiatives that are central to successful biodiversity
41 conservation. The long-term success of area-based conservation requires Parties to the
42 Convention on Biological Diversity to secure adequate financing, plan for climate change and
43 make biodiversity conservation a far stronger part of land, water and sea management
44 policies.

45 **Introduction**

46 Governments, policy makers and much of the conservation community have long heralded
47 protected areas as a fundamental cornerstone of biodiversity conservation^{1,2}. The importance
48 of other effective area-based conservation measures (OECMs) is also beginning to be
49 recognised^{3,4}. OECMs were defined by the Convention on Biological Diversity (CBD) in
50 2018 as places outside the protected area estate that deliver effective biodiversity
51 conservation, such as government-run water catchment areas, territories conserved by
52 Indigenous peoples and local communities some private conservation initiatives (Box 1).
53 Both protected areas and OECMs (collectively referred to herein as area-based conservation
54 measures) are acknowledged in the CBD and the 2030 Agenda for Sustainable
55 Development⁵. In particular, the CBD’s current ten-year Strategic Plan for Biodiversity⁶ –
56 agreed by 168 countries in 2010 – had an explicit target (Aichi Target 11) that stipulated “at
57 least 17 per cent of terrestrial and inland water areas and 10 per cent of coastal and marine
58 areas, especially areas of particular importance for biodiversity and ecosystem services, are
59 conserved through effectively and equitably managed, ecologically representative and well-
60 connected systems of protected areas and OECMs, and integrated into the wider landscape
61 and seascape” by 2020. This target has dominated the area-based conservation agenda for the
62 past decade.

63 Between 2010 and 2019, protected areas expanded from covering 14.1% to 15.3% of global
64 land and freshwater environments (excluding Antarctica) and from 2.9% to 7.5% of the
65 marine realm⁷ (Fig 1). While it is not yet possible to track their global extent systematically,
66 OECMs have emerged as a category of area-based conservation since 2010⁸. However,
67 despite these encouraging efforts, some disconcerting spatial dynamics in the global protected

68 area estate are becoming more apparent. One recent analysis showed that on average 1.1
69 million km² of land and sea were recorded as removed from the global protected area estate
70 annually between 2006 and 2018⁹. There is also concern that nations are paying less attention
71 to the qualitative elements of Aichi Target 11, including the need for representative and
72 connected protected areas that are equitably governed and managed¹⁰⁻¹⁶. Moreover, some
73 long-standing issues, including poor resourcing and low management effectiveness, continue
74 to compromise the ability of protected areas to conserve biodiversity and ecosystems¹⁷⁻²³. As
75 a consequence, there is a risk that humanity could fail to deliver on the overall strategic goal
76 for which the target was established – to “improve the status of biodiversity by safeguarding
77 ecosystems, species, and genetic diversity”⁶.

78 A post-2020 Global Biodiversity Framework will be agreed upon at the fifteenth Conference
79 of the Parties to the CBD. This new strategic plan could be humanity’s last chance to prevent
80 catastrophic global biodiversity loss²⁴. The urgency to act has emboldened calls for a
81 substantial expansion of area-based conservation globally²⁵⁻²⁸ and fundamental changes in
82 how environmental targets are framed and implemented^{17,22,29,30}. It is therefore timely to
83 assess the achievements and failures of area-based conservation efforts over the past decade
84 and place these findings within the wider context of the global biodiversity crisis.

85

86 **The performance of protected areas since 2010**

87 In this section, we provide an up to date temporal analysis (between 2010 to 2019) of how the
88 recent expansion of protected areas globally has affected the net coverage of the qualitative
89 components of Target 11 (see Supplementary Methods for details of methodology and

90 calculations). We omit reference to OECMs in this section as a database showing the global
91 extent of these sites is not yet available.

92 *Protected areas being ecologically representative*

93 The concept of being ecologically representative has been interpreted as the coverage of
94 species or ecoregions (areas containing geographically distinct assemblages of species^{31,32}),
95 especially those that are threatened with extinction^{10,13,33,34}. We analysed how expansion of
96 the global protected area estate between 2010 and 2019 affected coverage of 12,056 species
97 listed as Vulnerable, Endangered or Critically Endangered (herein ‘threatened’ species) on
98 the IUCN Red List³⁵ (Fig. 2). Between 2010 and 2019, the percentage of species with some
99 portion of their geographic range protected increased from 86% to 87.6% (n=10,563).

100 However, only 21.7% (n = 2,618) of species assessed had adequate representation inside
101 protected areas in 2019 (up from 18.9% in 2010), where adequacy targets for individual
102 species were set according to their geographic range³⁴.

103 The proportion of threatened reef-forming corals with adequate representation grew rapidly
104 over the last decade from 9.1% to 44.0%. The proportion of species with adequate coverage
105 also increased for threatened mangroves (to 50.0%), seagrasses (to 50.0%), marine mammals
106 (to 43.2%), marine bony fishes (to 42.1%) and cartilaginous fishes (to 32.4%) in this time.

107 However, no threatened marine reptiles had adequate levels of protection in 2019. On land,
108 the proportion of species with adequate coverage grew by <3% for birds (to 33.6%) and <2%
109 for amphibians (to 10.9%), reptiles (to 13.6%), mammals (to 37.0%) and freshwater species
110 (to 19.0%) in the last decade (Table S1; Table S2). It remains that 78.3% (n = 9,438) of all

111 threatened species assessed had inadequate protection as of 2019, with at least 1,493 (12.4%)
112 remaining without any coverage at all.

113 We further assessed progress toward adequacy targets of 17% protection for terrestrial
114 ecoregions and 10% for marine ecoregions or pelagic regions. We found that 42.6% (n = 361)
115 of terrestrial ecoregions were adequately protected in 2019 (up from 38.8% in 2010) (Fig. 2;
116 Table S3). We also found that one-fifth (20.6%) of land protected since 2010 covered tropical
117 and subtropical grassland ecoregions – a critically endangered biome³¹ (Table S4). However,
118 32.9% of land protected since 2010 covered dry or desert ecoregions, which are relatively
119 species poor and well represented in the global protected area estate³¹. The percentage of
120 marine ecoregions with adequate coverage increased to 45.7% (n = 106) last decade (from
121 31.8% in 2010) (Table S5), with much of this growth occurring over in the Southern Ocean
122 around Antarctica (0.7 million km²; 4.2% of all new marine protected area). Despite
123 attracting 81.3% (14.7 million km²) of all new protected since 2010, coverage in pelagic
124 regions remains low, with only 10.8% (n = 4) adequately protected in 2019 (up from 2.7% in
125 2010) (Fig 2; Table S6). Protected area expansion in pelagic regions was particularly
126 concentrated in waters between Australia and South America, which enjoyed 36.6% of all
127 new marine protected area (6.0 million km²) in the past decade.

128 *Coverage of areas of particular importance for biodiversity*

129 The Key Biodiversity Area (KBA) approach³⁶ offers a global standard for identifying
130 marine, terrestrial and freshwater sites that contribute significantly to the global persistence
131 of biodiversity. Over 15,000 KBAs have been identified so far (83.1% of which are Important
132 Bird Areas - the avian subset of KBAs)³⁷. Host nations are encouraged to ensure that these

133 sites are managed in ways that ensure the persistence of biodiversity, although this does not
134 necessarily mean inclusion within a protected area³⁶. Our analysis showed average coverage
135 of terrestrial KBAs was 45.9% in 2019 (up from 43.6% in 2010) and 43.3% for marine KBAs
136 (up from 37.9% in 2010) (Fig 2). Overall, some 4,900 KBAs (33.0%) remained without
137 protected area coverage in 2019.

138 Wilderness areas are ecologically intact land and seascapes that are predominantly free of
139 human-driven biophysical disturbance^{38,39}. They underpin planetary life-support systems⁴⁰
140 and are critical for the long-term persistence of imperilled species⁴¹, especially in a time of
141 climate change⁴². Over half (55.6%) of all wilderness overlaps with the geographic range of
142 at least one threatened species, yet wilderness areas are also quite spatially discordant from
143 KBAs – only 1.2% of all land and sea on Earth is simultaneously recognised as both a KBA
144 and wilderness area (Fig S1). Our analysis shows coverage increased for both terrestrial
145 (from 19.7% to 22.1%) and marine wilderness (2.0% to 8.5%) areas during the past decade
146 (Fig. 2).

147 *Coverage of ecosystem services*

148 The carbon sequestered and stored in terrestrial ecosystems plays a pivotal role in mitigating
149 anthropogenic climate change⁴³. We therefore assessed coverage of global above-ground
150 biomass and soil carbon stocks⁴⁴. Coverage of above-ground biomass increased from 22.6%
151 in 2010 to 23.7% (99.0 petagrams of carbon (Pg C)) in 2019. Coverage of global soil carbon
152 stocks was lower on average and increased less in the past decade, from 13.9% in 2010 to
153 14.6% (400.5 Pg C) in 2019. Large unprotected repositories of soil carbon are prevalent
154 across north-east North America, Russia and south-east Asia (Fig S2). However, maps of

155 terrestrial organic carbon, particularly in peatlands and tropical rainforests, are continually
156 being refined⁴⁵, which may influence our future understanding of carbon storage in particular
157 areas.

158 The ‘biological pump’ – carbon fixed by phytoplankton in the world’s oceans being exported
159 to the deep ocean^{46,47} – also plays a key role in mitigating climate change because it removes
160 carbon from the ocean and atmosphere systems for decades to millennia⁴⁸. We estimate that
161 0.21 Pg of particulate organic carbon (POC)⁴⁹ and 0.17 Pg of dissolved organic carbon
162 (DOC)⁵⁰ is exported inside marine protected areas each year (Table S7; Table S8). We note,
163 however, that the factors that drive carbon export in the world’s oceans vary seasonally⁵¹ and
164 the relative value of marine protected areas in carbon export may vary through time.

165 Around three billion people rely on wild-caught or farmed seafood as their primary source of
166 protein, making the sustained provision of seafood a globally-important goal⁵. We compared
167 protected area coverage of the most and least productive marine regions for fisheries catch in
168 the world’s oceans, finding that coverage of the least productive exclusive economic zones
169 (EEZs) (i.e. those within the bottom 20% for annual fisheries catch per unit area; Table S9)
170 was on average three times greater than coverage of the most productive EEZs (i.e. those
171 within the top 20% for annual fisheries catch per unit area; Table S10) (31.2% versus 9.8%).
172 Moreover, average coverage of the most productive EEZs has not changed notably since
173 2010 (Fig 2). We also found that the seven most productive pelagic regions for fisheries catch
174 have no formal protected area coverage (Table S11).

175 *Protected areas being well connected*

176 Well-connected ecosystems are critical for maintaining important ecological and evolutionary
177 processes, including species migration and gene flow, especially when species face rapid
178 climatic and environmental changes^{52,53}. Connectivity among marine protected areas further
179 helps to replenish and maintain fish populations, including on fished reefs^{54,55}. A previous
180 study showed that, in 2016, only 30% of terrestrial ecoregions were at least 17% covered by
181 protected areas that were within the potential dispersal distance of terrestrial vertebrates⁵⁶. A
182 subsequent study showed that the percentage of connected terrestrial protected areas
183 increased from 6.5% to 7.7% between 2010 and 2018⁵⁷. However, these assessments did not
184 account for the permeability of unprotected land between protected areas. There have been no
185 global-scale assessments of connectivity among marine or freshwater protected areas, but
186 regional-scale studies show them to have limited connectivity, especially for species with a
187 dispersive larval stage⁵⁸.

188 *Protected area management effectiveness*

189 Over the past few decades, four broad approaches have been used to evaluate area-based
190 conservation efforts (Table 1). Three of these approaches pertain to management
191 effectiveness, the first of which – herein termed input evaluation – evaluates the adequacy of
192 management resources for area-based conservation. A recent study corresponding to ~23% of
193 terrestrial protected area found 47% of protected areas suffer from inadequate staff and
194 budget resources, with poor resourcing especially noticeable in the Neotropics²¹. Similarly, a
195 study of 433 marine protected areas showed 65% to have insufficient budget for basic
196 management needs and 91% to have on-site staff capacity that is inadequate or below
197 optimum²⁰. Related inputs, including weak enforcement of protected area regulations⁵⁹, have
198 also been implicated in poor management effectiveness.

199 A second evaluation approach – herein termed threat reduction evaluation – asks if area-
200 based conservation effectively reduces threats to biodiversity persistence. The majority of
201 these evaluations show that protected areas slow but fail to completely halt human pressures
202 within their borders. For example, human pressures increased inside 55% of protected areas
203 on land between 1993 and 2009⁶⁰, while in the marine realm, 94% of protected areas created
204 before 2014 permit fishing activities^{61,62}. However, terrestrial protected areas have been
205 found to reduce rates of deforestation and forest degradation below those observed in nearby
206 unprotected areas⁶³, including in the Amazon^{64,65}, and marine protected areas can reduce
207 fishing vessel traffic⁶⁶ and the negative effects of some non-native species⁶⁷.

208 The third evaluation approach – herein termed outcome evaluation – asks if the goals of area-
209 based conservation are being achieved relative to no intervention taking place. A recent
210 controlled study showed some 12,000 protected areas were ineffective at reducing human
211 pressures inside their borders between 1995 and 2010⁶⁸. However, several studies have
212 reported beneficial impacts of protected areas on biodiversity. For example, a controlled
213 study of 359 terrestrial protected areas showed species richness to be 10.6% higher and
214 abundance 14.5% higher inside protected areas than outside, with the effects of protection
215 most prominent in human-dominated land uses in the tropics (e.g. cropland, plantations)⁶⁹.
216 Similarly, a controlled study of 218 marine protected areas found that, on average, fish
217 biomass is nearly double inside protected areas than in non-protected sites²⁰. Marine
218 protected areas can also promote the recovery of commercial fish species^{70,71}. No-take marine
219 reserves, in particular, can effectively increase species richness, density and biomass in both
220 tropical and temperate systems^{20,72-74}, as well as being effective at restoring trophic
221 function^{75,76} and lowering levels of coral disease⁷⁷. Finally, several studies have reported on

222 the social impacts of protected areas. For example, a controlled study corresponding to 603
223 protected areas found households near protected areas with tourism opportunities had higher
224 wealth levels (by 17%) and a lower likelihood of poverty (by 16%) than similar households
225 living far from protected areas⁷⁸.

226 *Equitable governance and management in protected areas*

227 Social equity in the context of protected areas has multiple dimensions, including
228 distributional equity (e.g. people agree on a scheme for sharing benefits and burdens),
229 procedural equity (e.g. decision-making that is transparent, accountable and participatory)
230 and recognition (e.g. respect for cultural identities, customary rights and traditional
231 management practices)⁷⁹. A recent survey corresponding to 225 protected areas showed the
232 majority of conservation managers, staff and community representatives believe protected
233 area benefits are shared equally¹⁵. Yet the study also showed decision-making was not
234 equitable in many cases and that local stakeholders perceived a general loss of rights over
235 natural resources after protected area establishment¹⁵.

236 Despite limited evidence of progress toward social equity, protected areas that do integrate
237 local communities as stakeholders often result in better socioeconomic and conservation
238 outcomes^{14,80}. A review of 27 marine protected areas found stakeholder engagement,
239 surveillance, leadership, political will and the existence of sanctioning and conflict resolution
240 mechanisms were key factors related to achieving ecological objectives⁸¹. No-take, well-
241 enforced, and longer established marine protected areas not only show conservation
242 success⁸², but also positive economic and governance outcomes for dependant human
243 communities⁸³. Furthermore, community-managed terrestrial protected areas are often more

244 effective than nationally-designated protected areas at reducing deforestation pressures,
245 including in Peru, Brazil, Australia and Namibia^{84,85}.

246 **Lessons learned and priority actions for area-based conservation**

247 National governments collectively made some progress toward Aichi Target 11 in the past
248 decade, particularly in the marine realm. However, it is clear that nations have as yet failed to
249 meet this target. The rate of terrestrial protected area expansion needed to be double what was
250 observed in the past decade in order to achieve 17% coverage for land and freshwater
251 environments. Moreover, 78.3% of known threatened species and more than half of all
252 ecosystems on land and sea remained without adequate protection in 2019. A clear lesson
253 from this assessment is that nations must expand area-based conservation efforts and better
254 ensure they contribute meaningfully to global goals for species and ecosystem conservation,
255 which range from stopping extinction⁸⁶ to keeping ecosystems intact⁸⁷. The past decade has
256 also shown that many protected areas are poorly managed, due predominantly to chronic
257 resource shortages, and that many Indigenous and community groups are inadequately or
258 inequitably represented in land, water and sea conservation plans. In light of these lessons,
259 we identify three urgent challenges that must be acted upon by governments, scientists,
260 policy makers and other stakeholders as they embark on the next decade of area-based
261 conservation (Table 2).

262 *Making other effective area-based conservation measures count*

263 There are now expanding opportunities to formally recognise places outside state-run
264 protected areas that can conserve biodiversity. In addition to protected areas governed
265 privately⁸⁸ and by Indigenous peoples⁸⁴, other effective area-based conservation measures

266 (OECMs) are being increasingly recognised. The importance of OECMs was formally
267 recognised in Aichi Target 11 in 2010, but their guiding principles and criteria for
268 identification were not agreed until November 2018 (Box 1). This delay likely contributed to
269 OECMs being overlooked in most national biodiversity policies and strategies over the last
270 decade. With a formal definition now agreed⁸⁹, nations and managing bodies look set to
271 operationalise OECMs more rapidly. The challenge now for the conservation community is
272 to ensure OECMs contribute meaningfully to biodiversity conservation.

273 Other effective area-based conservation measures could help address representation shortfalls
274 in the global protected area estate. One recent study shows that 566 unprotected Key
275 Biodiversity Areas are at least partly covered by one or more potential OECMs⁴, and
276 compared with nationally-designated protected areas, OECMs may prove to be more socially
277 acceptable in productive land and seascapes (which are hotspots for poorly protected
278 threatened species^{10,11}). Recognising OECMs in inshore marine habitats, farmlands and
279 managed forests could also enhance the connectivity of area-based conservation efforts,
280 provided natural ecological functions can be restored and maintained in such areas^{90,91}. Wider
281 recognition of OECMs should also help make area-based conservation management more
282 equitable given they are managed by and for the benefit of a diverse set of actors. A recent
283 study showed Indigenous-managed lands in Australia, Brazil and Canada support similar
284 concentrations of vertebrate species to nationally-designated protected areas⁹², which
285 exemplifies the importance of working with Indigenous Peoples to recognise OECMs in their
286 territories.

287 To deliver on their potential, however, governments, private industry and the conservation
288 community must immediately mobilise support for OECMs to overcome issues faced by

289 many protected areas, including inadequate reporting and resourcing. A reporting platform
290 for OECMs⁸⁼ was released in December 2019 and has potential to make assessments of
291 progress toward the successor of Aichi Target 11 more accurate if countries make use of it.
292 The success of OECMs will also depend on governments and conservation actors upholding
293 human rights and social safeguards, particularly in Indigenous and community areas. In cases
294 where meeting OECM criteria will require some adaptation to livelihoods, great care must be
295 taken to develop alternative livelihood opportunities that deliver tangible benefits to resource
296 users⁹³. Alternative livelihood schemes must also be mindful to retain the biodiversity
297 benefits of OECMs⁹⁴.

298 *Tracking the increasing dynamism of area-based conservation*

299 Recent studies show protected areas are more dynamic in space and time than previously
300 thought⁹. Decisions to remove, shrink or relax protected areas are poorly documented,
301 making it difficult to assess which ecosystems are most susceptible to such dynamics or how
302 they affect the overall quality of area-based conservation networks. The challenge for the
303 conservation community is to have protected area dynamics reported more transparently –
304 especially when they compromise biodiversity outcomes.

305 Many removals from the protected area estate can be attributed to protected area
306 downgrading, downsizing, and degazettement (PADDD) events. Over 1,500 PADDD events
307 affected over one-third of Australia’s protected area network (416,740 km²) between 1997
308 and 2014⁹⁵. Moreover, 23 PADDD events have affected natural World Heritage Sites –
309 protected areas with “outstanding universal value” (e.g. Virunga, Serengeti and Yosemite
310 National Parks)⁹⁶. PADDD events can accelerate forest loss and fragmentation⁹⁷ and most

311 (62%) are associated with activities that are in stark conflict with biodiversity conservation,
312 including industrial-scale resource extraction and infrastructure development⁹⁸. Potentially of
313 greatest concern, however, are the many PADDD events that are going undocumented,
314 particularly in marine systems⁹⁹ and on private lands¹⁰⁰.

315 To improve the transparency of area-based conservation decisions, we encourage
316 governments and the conservation community to engage more with global PADDD tracking
317 platforms (e.g. paddtracker.org). We also believe that integrating PADDD tracking data
318 with existing area-based conservation databases (e.g. World Database on Protected Areas⁷)
319 would vastly improve their utility and aid global reporting. Dynamism in area-based
320 conservation could signal attempts to expand or enhance protected areas, either through
321 improved resourcing and management^{101,102}, or by enacting more restrictive regulations¹⁰³. As
322 such, there is also a clear need to better incentivise and track the continuum of changes to
323 protected areas that can improve their ability to conserve biodiversity. We suggest that such
324 changes be characterised collectively as Protected Area Gazettement, Expansion and
325 Enhancement (PAGEE). Clear, transparent tracking around both PADDD and PAGEE events
326 will ensure we address, and not exacerbate, current shortfalls in area-based conservation.

327 *Outcome-orientated evaluation of area-based conservation*

328 The numerous approaches developed to evaluate area-based conservation efforts all have
329 merit, but the conservation community remains too reliant on types of evaluation that focus
330 on management inputs or threat reduction¹⁰⁴ (Table 1). Adopting evaluation techniques that
331 more effectively capture the biodiversity and socio-economic outcomes of area-based
332 conservation is currently a substantial challenge.

333 The Global Database on Protected Area Management Effectiveness (GD-PAME) provides
334 information on many protected area processes, including the existence of a management plan
335 or the adequacy of law enforcement activities¹⁰⁵. Yet the majority of GD-PAME
336 methodologies were not developed to quantify the effects of protected area management
337 activities on species and ecosystems¹⁰⁵ and therefore cannot be used to evaluate progress
338 toward the effective conservation of biodiversity. High resolution maps of ecological change
339 across land and seascapes, including forest cover change¹⁰⁶ and changes in cumulative human
340 pressure^{107,108}, enable more outcome-orientated conservation evaluations. But ecological
341 changes across land and seascapes do not always explain local biodiversity patterns¹⁰⁹. The
342 temporal resolution of cumulative human pressure mapping also lags behind that of forest
343 cover mapping efforts and some maps of human pressure are at spatial resolutions (e.g.
344 77sqkm¹⁰⁸) that preclude assessments of many small (i.e. <1 km²), but crucially important¹¹⁰,
345 protected areas.

346 To make area-based conservation evaluations more outcome-orientated, we suggest making
347 robust outcome evaluation techniques – both ex-ante to help site areas¹¹¹ and ex-post to report
348 on outcomes¹¹² – a standard reporting requirement for all organisations involved in area-
349 based conservation. Conservation agencies must then better fund long-term and well-
350 designed biodiversity monitoring programs. It will be especially important for such programs
351 to monitor control sites that match protected areas in terms of ecological and anthropogenic
352 conditions, so as to isolate any confounding effects^{113,114}. We also encourage governments
353 and communities to engage more with citizen science initiatives that use techniques such as
354 camera traps, drones and acoustic monitors to increase the coverage and frequency of
355 biodiversity data¹¹⁵. Combining advances in remote sensing and field campaigns (e.g. the

356 European Space Agency’s Biomass Earth Explorer) with in situ reporting of protected area
357 management capacity and biodiversity trends could also make outcome evaluations much
358 more accurate and reliable.

359

360 **Future-proofing area-based conservation**

361 While the three challenges discussed above are immediate priorities, broader policy changes
362 can ensure area-based conservation can contribute meaningfully to longer-term goals held by
363 the CBD, namely that “by 2050 humanity live in harmony with nature”¹¹⁶ (Table 2). In this
364 final section, we outline a set of necessary pre-conditions – adequate financing, being
365 climate-smart and mainstreaming biodiversity across national policy frameworks – that
366 require action by governments now to ensure the long-term success of area-based
367 conservation strategies.

368 *Secure adequate financing*

369 The global funding available for species protection has more than halved in the past two
370 decades, from approximately \$200 million USD per year in the 2000s to <\$100 million USD
371 per year in the 2010s¹¹⁷. Compounding resource shortfalls at existing sites are the costs
372 associated with expanding area-based conservation efforts. One estimate suggests protecting
373 and effectively managing a more taxonomically comprehensive terrestrial protected area
374 network would cost US\$76.1 billion annually¹¹⁸. As such, a conservative estimate of the
375 current financial shortfall for area-based conservation likely exceeds the multi-billion dollar
376 mark. This shortfall is unlikely to be fully addressed in the coming decade, but reducing it
377 must become an immediate priority for governments and private industry.

378 Current and future resourcing needs could be met if the contribution of area-based
379 conservation to national economies was fairly recognised. The direct value generated by
380 visits to protected areas is valued at \$600 billion USD per year¹¹⁹. Governments must
381 therefore better account for the contribution of area-based conservation efforts to national
382 economies. When budgeting for area-based conservation, we suggest governments use
383 predictive measures of funding requirements and impacts¹²⁰ and that they consider under-
384 appreciated cost-saving benefits of effective biodiversity conservation. For example, it would
385 be useful to compare the costs arising from the socio-economic devastation caused by
386 zoonotic diseases such as SARS or COVID-19 with those needed to effectively manage area-
387 based conservation networks in a way that reduces supply to illegal wildlife markets¹²¹. There
388 is also an urgent need to better harness industry and philanthropic contributions to area-based
389 conservation through, for example, improved funding guidelines that ensure involvement
390 from private interests do not compromise the siting or management of area-based
391 conservation¹²²⁻¹²⁴.

392 *Being climate-smart*

393 Anthropogenic climate change will become an increasingly strong mediator of the success of
394 area-based conservation this decade¹²⁵, with many predicted biological responses to climate
395 change already underway¹²⁶. A recent study showed that under a business-as-usual scenario
396 for greenhouse gas emissions (RCP8.5), mean sea-surface temperatures within marine
397 protected areas are projected to increase by 2.8°C by 2100¹²⁷. We overlaid climate change
398 projections under a more moderate emissions scenario (RCP4.5) on the terrestrial protected
399 area network and found that temperatures in the warmest quarter will increase on average by
400 2.9°C on protected land by 2050, with higher increases occurring in European nations (Fig

401 S2; Table S12). We also found that by 2050 some biodiverse nations, including Suriname and
402 Guyana, can expect 30-40% less rainfall on protected land during dry months (Fig S3; Table
403 S13). Such changes in bioclimatic conditions are likely to dramatically alter ecological
404 networks¹²⁸ and imperil some species and ecosystems¹²⁹. Even the relatively moderate
405 RCP4.5 greenhouse gas emission scenarios are likely drive the elimination of most warm-
406 water coral reefs by 2040–2050¹³⁰.

407 There are now well-established ways to incorporate climate change into area-based
408 conservation plans¹³¹, including safeguarding, or where possible, restoring the integrity of
409 ecosystems around protected areas so as to ensure ecological connectivity^{132,133}. Ensuring that
410 managers have the knowledge and capacity to implement realistic climate adaptation policies
411 is also paramount¹³⁴. Greater enforcement of conservation regulations¹³⁵ and accounting for
412 human responses to climate change¹³⁶ are also likely to enhance the climate resilience of
413 area-based conservation efforts. However, targeting protected areas in sites where bioclimatic
414 changes may be small (i.e. refugia) must be done with caution because predicted changes can
415 be spatially discordant¹²⁷ as can the biotic response. For example, only 3.5% of marine
416 protected areas co-occur with refugia for both sea-surface temperature and oxygen
417 concentration¹²⁷. In such cases, decision-support tools (e.g. value of information analysis¹³⁷;
418 systems modelling¹³⁸) can evaluate the benefits of resolving uncertainty about ecological
419 responses to climate change before implementing conservation action, and hence lead to
420 more robust management decisions.

421 *Make biodiversity conservation mainstream*

422 No matter how well-sited, resourced or managed, area-based conservation can only act on a
423 subset of threats to biodiversity persistence. The amelioration of large-scale distal threats
424 requires other interventions that are triggered by broader land, water and sea management
425 policies^{139,140}. China is the first major economy to formulate a national policy – known as the
426 Ecological Redline Policy – that mandates municipality and provincial governments to
427 establish biodiversity and ecosystem service assessments in land use planning¹⁴¹. It is hoped
428 that the Ecological Redline Policy extends to China’s planned activities beyond their national
429 boundaries, including the Belt and Road Initiative, which could impact on many areas of
430 critical conservation concern¹⁴². However, most national land, water and sea management
431 policies are subservient to economic development¹⁴³ or contain loopholes that lead to
432 perverse environmental outcomes¹⁴⁴. Governments must recognise that getting these policies
433 right is essential and will ease the strain on area-based conservation strategies in the long
434 term.

435 Two cross-cutting changes could improve the efficacy of national land, water and sea
436 management policies. First, we suggest nations adopt an overarching goal for biodiversity
437 that is bold – to have a net positive impact on biodiversity, for example²⁹ – and then agree a
438 set of socio-economic and environmental targets that can contribute proportionally to this
439 overarching goal. Targets should then be made mutually conditional whereby environmental
440 targets (e.g. protect 30% of land) cannot be considered met if progress toward socio-
441 economic targets (e.g. eliminate incentives harmful to biodiversity) is found wanting.
442 Improving biodiversity accounting protocols could also enhance the efficacy of land, water
443 and sea management policies. One example of this is switching from biodiversity impact
444 offsetting protocols that simply displace conservation funding or entrench rates of

445 biodiversity loss (e.g. ‘averted loss offsetting’) to emerging protocols that align compensation
446 with desired trajectories for imperilled species or ecosystems (e.g. ‘target-based
447 compensation’)¹⁴⁵.

448

449 **Conclusions**

450 Area-based conservation will remain the cornerstone of biodiversity conservation long into
451 the 21st century. But governments have dramatically underinvested in protected areas and
452 OECMs and been weak in legally protecting them. In addition to addressing existing
453 shortfalls, conservation organisations need to adopt more impact-orientated evaluation
454 measures and promote governance and management equity. Organisations must also improve
455 the transparency of decisions that result in spatial and resource dynamics and ensure that
456 OECMs can contribute meaningfully to biodiversity conservation. Finally, governments must
457 future-proof area-based conservation by securing adequate financing, being climate-smart
458 and mainstreaming biodiversity across environmental and socio-economic policies.

459

460 **Acknowledgements**

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462 analytical components of this review. We also thank four anonymous Referees for their
463 constructive feedback, along with H.C. Jonas, P. Langhammer and those that attended the
464 CBD’s Thematic Workshop on Area-based Conservation Measures in Montreal in November
465 2019 for thoughts and discussion around this manuscript.

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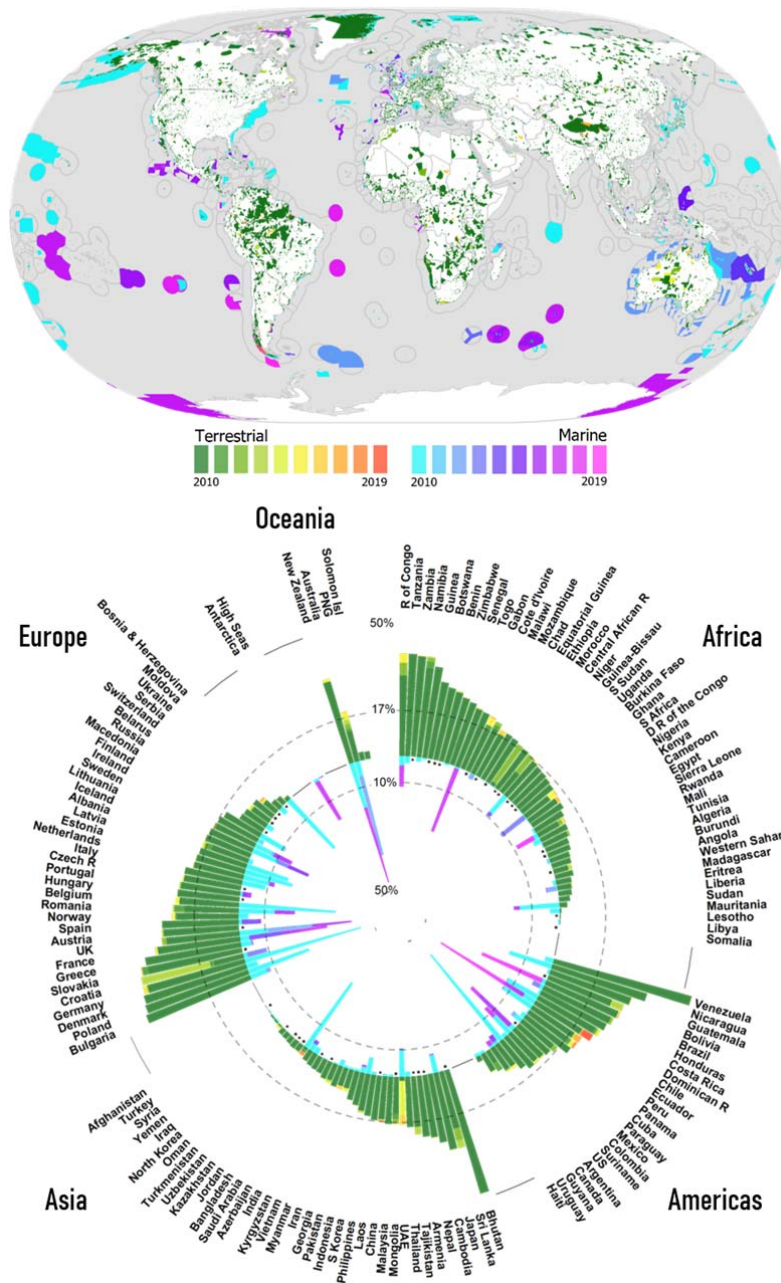
467 **Data availability**

468 The World Database on Protected Areas (<http://www.protectedplanet.net>)⁷, the World
469 Database of Key Biodiversity Areas (<http://keybiodiversityareas.org>)³⁷, ecoregions on land³¹
470 and sea^{32,146}, wilderness on land³⁹ and sea³⁸, geographic distributions of non-avian³⁵ and
471 avian¹⁴⁷ threatened species and bioclimatic projections¹⁴⁸ are publically available online.
472 Maps of fisheries catch¹⁴⁹, particulate organic carbon⁴⁹ and dissolved organic carbon⁵⁰ export,
473 and biomass and soil carbon⁴⁴ can be obtained from their creators. Source Data for
474 Supplementary Fig 3 and 4 are provided with the paper. Source Data for Fig 1 and 2 and all
475 data tables found in the Supplementary Information in are available in an online digital
476 repository at 10.5281/zenodo.3894431

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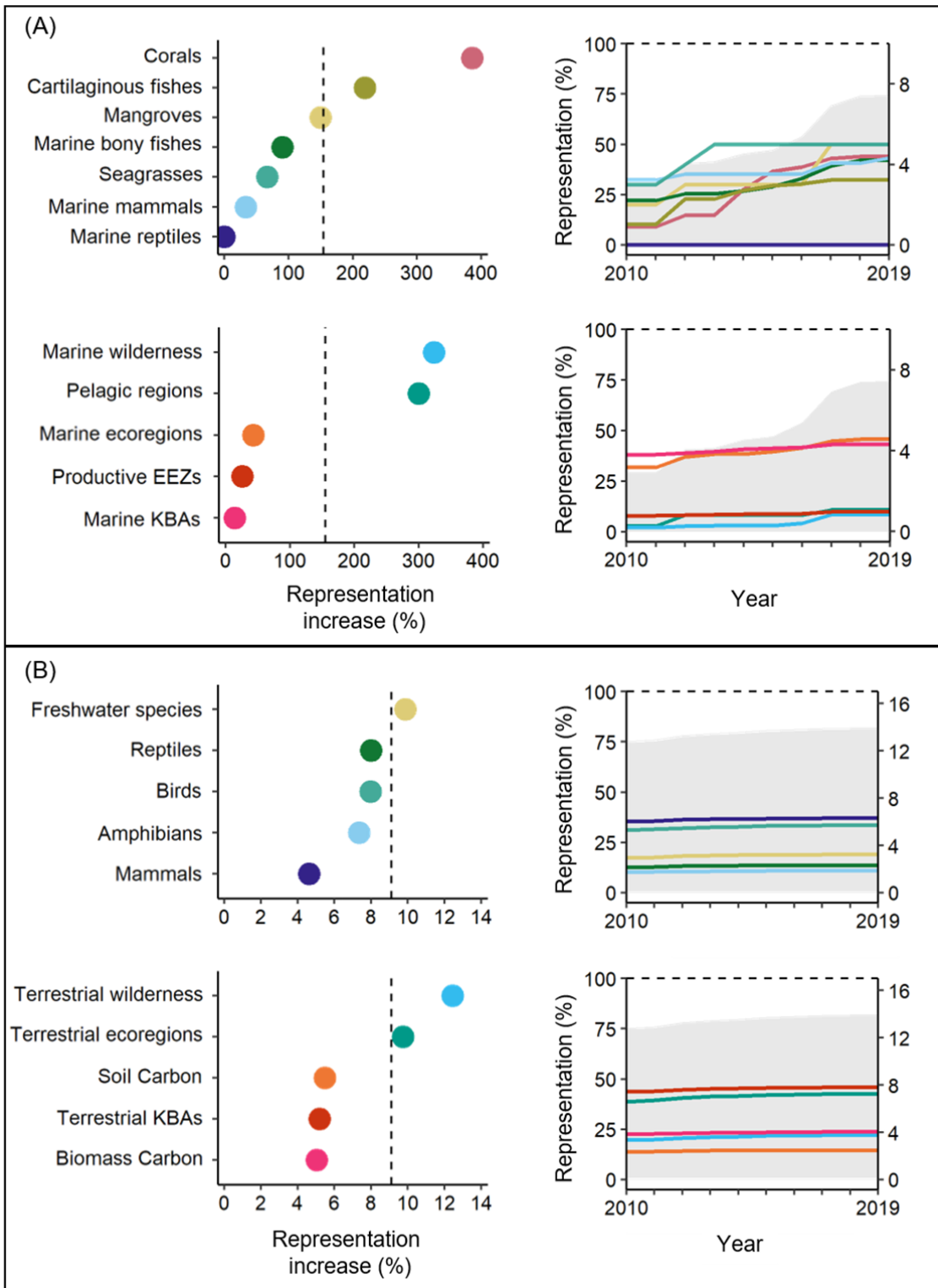
478 **Code availability**

479 Spatial analysis was conducted using in ESRI ArcGIS Pro v2.4.0. The workflow we used to
480 process the World Database on Protected Areas is available in the Supplementary
481 Information.



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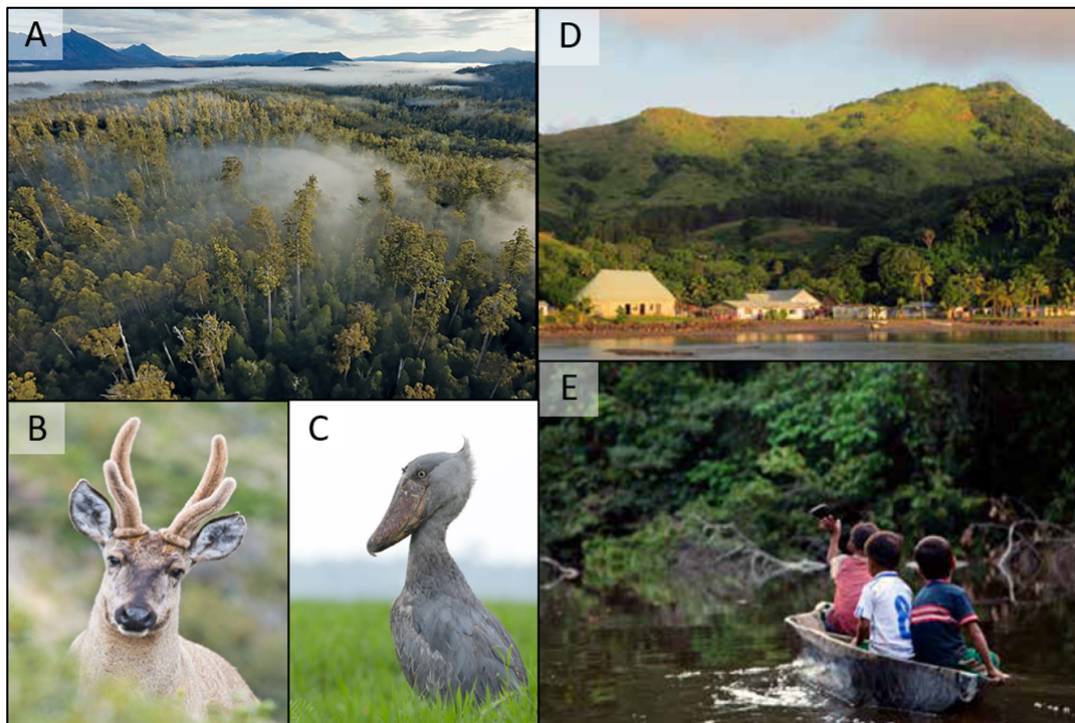
Fig. 1. Growth in the global protected area estate between 2010 and 2019. Global map shows annual expansion of protected areas across marine (blue to pink colours) and terrestrial (green to red colours) realms on Earth. Circular plot shows increases in areal coverage (%) per year for marine and terrestrial protected area estates for countries >25,000 km² in size. Landlocked countries are marked with an asterisk (*). Progress toward the globally agreed target - to have 17% of land and inland waters and 10% of coastal and marine areas protected by 2020 - is promising but incomplete. Data for figure sourced from⁷.



491

492 **Fig. 2.** Temporal trends in biodiversity and ecosystem service representation within the
493 global marine (A) and terrestrial (B) protected area estates. Left-hand plots show increases in
494 representation of values (coloured dots) compared with percent growth in protected area
495 estates between 2010 and 2019 (dotted vertical lines). Coloured dots to the right of dotted
496 vertical lines show when an increase in representation was greater than the growth in the
497 protected area estate, suggesting these values benefited most from recent expansion of area-
498 based conservation efforts. Right-hand plots show change in biodiversity and ecosystem
499 service representation (coloured lines; left axis) as the terrestrial and marine protected area
500 estates expanded between 2010 and 2019 (grey shading; right axis). For taxonomic groups,
501 trend lines show the proportion of threatened species with adequate representation. Trend
502 lines for ecoregions and pelagic regions show the proportion of these features that are at least
503 17% protected (for terrestrial ecoregions) or at least 10% protected (for marine ecoregions or
504 pelagic regions). Trend lines for all other values, including Key Biodiversity Areas (KBAs),
505 wilderness areas, biomass carbon, soil carbon and exclusive economic zones (EEZs) within
506 the top 20% for annual fisheries catch per km², represent global averages. See Supplementary
507 Information for data sources and methods.

| Nationally designated protected areas | Other effective area-based conservation measures (OECMs) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>“A clearly defined geographical space, recognised, dedicated and managed, through legal or other effective means, to achieve the long term conservation of nature with associated ecosystem services and cultural values”²</p> | <p>“A geographically defined area other than a Protected Area, which is governed and managed in ways that achieve positive and sustained long-term outcomes for the in situ conservation of biodiversity, with associated ecosystem functions and services and, where applicable, cultural, spiritual, socioeconomic, and other locally relevant values”⁸⁹</p> |



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509

510 **Box 1.** Protected areas and other area-based conservation measures (OECMs) are
511 complementary area-based conservation measures. Their distinguishing feature is that a
512 protected area has a primary conservation objective whereas an OECM delivers the effective
513 in-situ conservation of biodiversity, regardless of its objectives. Protected areas are playing a
514 central role in conserving (A) wilderness areas in Tasmania, Australia (Credit: Nik
515 Lopoukhine), the Patagonian Huemul (*Hippocamelus bisulcus*) in Chile and (C) the Shoebill
516 stork (*Balaeniceps rex*) in Uganda (Credit: Daniel Field). OECMs have been recognised at
517 (D) a locally managed marine area on Totoya Island, Fiji (Credit: Stacy Jupiter) and (E) a
518 conservation concession in Loreto Region, Peru (Credit: Bruno Monteferri).

519 **Table 1** Approaches for evaluating area-based conservation. The different approaches imply
 520 different measurements and are subject to strengths and weakness. Design, input and threat
 521 reduction evaluations all measure means to an end, whereas outcome evaluation measures
 522 progress toward the ultimate goals of area-based conservation. Globally we have limited
 523 capacity to perform outcome evaluation for area-based conservation.
 524

| Type | What is Measured | Strengths (+) and Weaknesses (-) | Examples |
|------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Design evaluation | 1. Coverage of species 2. Coverage of ecoregions 3. Coverage of important areas for biodiversity and ecosystem services 4. Protected area connectivity | + Broad spatial data on environmental variables readily available + Robust methods to identify if siting decisions for area-based conservation are influenced by competing interests (e.g. agricultural suitability) + Can include Traditional Ecological Knowledge where available - Coarse scale assessments might not be adequate of local planning - Subject to inaccuracies in global data sets | 10,11 |
| Input evaluation | 1. Budget shortfalls 2. Capacity shortfalls 3. Social equity shortfalls | + Global database established + Assessment frameworks that can be conducted rapidly - Taxonomic or geographic biases in datasets | 18,21 |
| Threat reduction evaluation | 1. Change in human pressures 2. Change in environmental state (e.g. pollution, forest cover) | + Human pressures are often useful proxies for broad-scale biodiversity impacts + Cheap and non-invasive (e.g. derived from satellites) - Often miss important drivers of biodiversity loss (e.g. disease, pollution, poaching) - Do not always explain local or regional biodiversity patterns | 20,60,105 |
| Outcome evaluation | 1. Species abundance and richness 2. Extinction risk 3. Socio-economic outcomes | + Account for what would have happened in the absence of conservation intervention + Provides the most robust foundation for decision-making - Counterfactual studies can exclude from impact evaluation sites that are small, surrounded by other conservation interventions or do not have an biophysically similar site that is unprotected - Data to quantify progress toward goals of area-based conservation (e.g. avoiding extinctions) in the absence of conservation action often unavailable | 20,86,150 |













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528 **Table 2.** Synthesis of current progress toward targets for area-based conservation and how
529 acting on key challenges could improve its performance in the 21st century. Progress toward
530 targets assessed as “Good” (substantial positive trends at a global scale relating to most
531 aspects of the element), “Moderate” (the overall global trend is positive but insubstantial or
532 insufficient, or there may be substantial positive trends for some aspects of the element but
533 little or no progress for others, or the trends are positive in some geographic regions but not
534 in others), “Poor” (little or no progress toward the element or movement away from it;
535 although there may be local, national, or case-specific successes and positive trends for some
536 aspects, the overall global trend shows little or negative progress) or “Unknown” (insufficient
537 information to score progress). Challenges and suggested actions shaded orange represent
538 immediate discrete priorities, while those shaded green are overarching pre-conditions that
539 require action by governments to ensure the long-term success of area-based conservation
540 strategies. Figure partially adapted from ref. (24) and (151).

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| Global targets for area-based conservation | Progress | | | | Challenges to improve progress | Potential actions to address challenges |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | Good | Moderate | Poor | Unknown | | |
| <p>Conserve:</p> <ul style="list-style-type: none"> • 17% terrestrial and inland water • 10% coastal and marine areas | |   | | | <ul style="list-style-type: none"> • Dynamism of area-based conservation are made more transparent • Other effective area-based conservation measures (OECMs) contribute substantively to biodiversity conservation • More impact-orientated evaluation of area-based conservation | <ul style="list-style-type: none"> • Utilise PADD tracking platforms and integrate with WDPA • Better track Protected Area Gazettement, Expansion and Enhancement (PAGEE) events • Engage with OECMs reporting platforms • Mobilise support for OECMs to overcome reporting and resourcing issues • Ensure that OECMs be managed by a diverse set of actors • Collect better temporal biodiversity data, including through citizen science initiatives • Make robust impact evaluation a standard reporting requirement |
| <p>Capture important places for biodiversity and ecosystem services, such as:</p> <ul style="list-style-type: none"> • KBAs • Wilderness areas | |   | | | | |
| <p>Be effectively managed by:</p> <ul style="list-style-type: none"> • Having adequate resources • Abating human pressures • Having positive biodiversity impacts | | |   |  | | |
| <p>Be equitably managed</p> | |  | | | | |
| <p>Be ecologically representative</p> <ul style="list-style-type: none"> • Cover 17% of all terrestrial ecoregions • Cover 10% of all marine ecoregions • Cover 10% of all pelagic regions | |   | |  | <ul style="list-style-type: none"> • Secure adequate resourcing • Be climate smart • Make biodiversity conservation mainstream | <ul style="list-style-type: none"> • Fund the contribution of area-based conservation to national economies • Better harness industry and philanthropic contributions • Safeguard ecological integrity • Utilise decision support tools to make robust decisions • Adopt an overarching goal for biodiversity that is bold • Adopt biodiversity accounting protocols that align compensation with desired trajectories for imperilled species or ecosystems |
| <p>Be well-connected and integrated</p> | |  | | | | |

547 **Supplementary figures and tables**

548 **Figure S1.** Global spatial overlap in key biodiversity variables and the protected area estate.

549 **Figure S2.** The terrestrial protected area network overlaid on a global map of biomass and
550 soil carbon.

551 **Figure S3.** Mean and interquartile range of change in temperature ($^{\circ}\text{C}$) during the warmest
552 quarter within national terrestrial protected area networks under a moderate emissions
553 scenario (RCP4.5).

554 **Figure S4.** Mean and interquartile range of change in precipitation (millimeters) during the
555 driest quarter within national terrestrial protected area networks under a moderate emissions
556 scenario (RCP4.5).

557 **Table S1.** Proportion of threatened species from different taxonomic groups with adequate
558 representation in the global protected area estate 2010 and 2019.

559 **Table S2.** Proportion of threatened freshwater species from different classes with adequate
560 representation in the global protected area estate 2010 and 2019.

561 **Table S3.** Protected area coverage (%) of terrestrial ecoregions in 2010 and 2019.

562 **Table S4.** Location of terrestrial protected areas established between 2010 and 2019 by
563 biome.

564 **Table S5.** Protected area coverage (%) of marine ecoregions in 2010 and 2019.

565 **Table S6.** Protected area coverage (%) of off-shelf pelagic regions in 2010 and 2019.

566 **Table S7.** Export of particulate organic carbon (POC) at approximately 100m in protected
567 and unprotected waters in global marine regions.

568 **Table S8.** Export of dissolved organic carbon (DOC) at approximately 100m in protected and
569 unprotected waters in global marine regions.

570 **Table S9.** Protected area coverage (%) between 2010 and 2019 of exclusive economic zones
571 (EEZs) within the bottom 20% for annual fisheries catch (in tonnes) per unit area.

572 **Table S10.** Protected area coverage (%) between 2010 and 2019 of exclusive economic zones
573 (EEZs) within the top 20% for annual fisheries catch (in tonnes) per unit area.

574 **Table S11.** Annual fisheries catch (tonnes per km^2) and protected area coverage between
575 2010 and 2019 (%) of pelagic regions.

576 **Table S12.** Current (average for 1950–2000) and future (average for 2041–2060) mean
577 temperatures (□) during the warmest quarter within national terrestrial protected area
578 networks.

579 **Table S13.** Current (average for 1950–2000) and future (average for 2041–2060)
580 precipitation rates (millimetres) during the driest quarter within national terrestrial protected
581 area networks.

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