Strong regional influence of climatic forcing datasets on global crop model ensembles

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Abstract

We present results from the Agricultural Model Intercomparison and Improvement Project (AgMIP) Global Gridded Crop Model Intercomparison (GGCMI) Phase I, which aligned 14 global gridded crop models (GGCMs) and 11 climatic forcing datasets (CFDs) in order to understand how the selection of climate data affects simulated historical crop productivity of maize, wheat, rice and soybean. Results show that CFDs demonstrate mean biases and differences in the probability of extreme events, with larger uncertainty around extreme precipitation and in regions where observational data for climate and crop systems are scarce. Countries where simulations correlate highly with reported FAO national production anomalies tend to have high correlations across most CFDs, whose influence we isolate using multi-GGCM ensembles for each CFD. Correlations compare favorably with the climate signal detected in other studies, although production in many countries is not primarily climate-limited (particularly for rice). Bias-adjusted CFDs most often were among the highest model-observation correlations, although all CFDs produced the highest correlation in at least one top-producing country. Analysis of larger multi-CFD-multi-GGCM ensembles (up to 91 members) shows benefits over the use of smaller subset of models in some regions and farming systems, although bigger is not always better. Our analysis suggests that global assessments should prioritize ensembles based on multiple crop models over multiple CFDs as long as a top-performing CFD is utilized for the focus region.

Keywords: Agricultural Model Intercomparison and Improvement Project (AgMIP); Global Gridded Crop Model Intercomparison (GGCMI); Climatic Forcing Datasets; Climate Impacts; Agroclimate; Crop production

1. Introduction

Global agricultural systems are vulnerable to climate hazards including extreme events and long-term trends that alter the growth environment. Cultivar and farm management practices are often selected to produce high and stable yields within the current expected climate, but this still leads to underperforming years as well as emerging pressures for adaptation as regional climates shift under anthropogenic climate change (Lobell et al., 2011; Mbow et al., 2019; Porter et al., 2014; Rosenzweig et al., 2014). Understanding regional agricultural systems' climate hazard profile is critical to major international goals for disaster preparedness (e.g., the Sendai Framework; UNISDR, 2015), greenhouse gas mitigation (e.g., the Paris Agreement, United Nations, 2015a), and the Sustainable Development Goals (United Nations, 2015b). Planning for current and future farming systems is therefore rooted in solid analysis of crop response to recent historical climate, which then acts as a baseline for the generation of future agroclimatic scenarios to allow investigation of adaptation, mitigation, and resilience-building interventions (Antle et al., 2015; Lange, 2019a; Ruane et al., 2015). As many of the world's most vulnerable agricultural regions are found in areas with incomplete or inconsistent meteorological observations, the Agricultural Model Intercomparison and Improvement Project (AgMIP[1]) has developed protocols and datasets to fill in observational gaps in order to provide a consistent climatic forcing for agricultural models across AgMIP and related simulation projects (Rosenzweig et al., 2013; Ruane et al., 2015; Ruane et al., 2017).

In this study, we investigate the hypothesis that the selection of a climatic forcing dataset (CFD) has strong influence on the fidelity of crop models simulating regional production of maize, wheat,

[1] Abbreviations: AgMIP: The Agricultural Model Intercomparison and Improvement Project; CFD: Climatic Forcing Dagaset; GGCM: Global Gridded Crop Model; GGCMI: Global Gridded Crop Model Intercomparison

rice, and soy. To do this we utilize global agricultural model simulations conducted as part of the AgMIP Global Gridded Crop Model Intercomparison (GGCMI, Elliott et al., 2015; Müller et al., 2017; see Supplementary Material S1), allowing us to investigate multi-model ensembles to reduce model-specific bias. Our final analysis of simulation skill is the correlation between crop model ensembles and the time series of national level production (Figure 6), with the preceding figures and sections providing examples and analysis approaches that help interpret differences across nations, crop systems, and crop model ensembles (further bolstered by the Supplementary Material). Influence of CFDs on production will depend on (i) the accuracy of climatic forcing datasets (CFDs) in capturing mean climate and resolving extreme events (Section 3), (ii) the ability of crop model biophysical process representations to capture important climatic responses (Section 4), and (iii) whether CFD differences align with critical crop model processes and structural differences in a manner that would lead to noticeable differences in agricultural response (Section 5). In this way we may apply the agricultural impacts lens to identify important differences in climate datasets that would otherwise be too subtle to distinguish. The structure of the GGCMI intercomparison also allows us to investigate the role of CFD selection within the context of GGCM/CFD ensembles including up to 91 members.

2. Material and methods

2.1 Climatic forcing datasets

Crop models typically require daily meteorological inputs including maximum and minimum temperature (T_{max} and T_{min}), precipitation (P), and solar radiation (S_{rad}). Many crop models also require information about humidity (relative humidity, vapor pressure deficit, or dew point

116 temperature), longwave radiation, and wind speed in order to more accurately estimate potential
117 evapotranspiration. Some models utilize hourly information to better understand processes related
118 to the diurnal cycle. High-quality *in situ* measurements remain the gold standard for model
119 simulations, with remote sensing and retrospective analyses ('reanalyses') filling in gaps in space
120 and time (Gelaro et al., 2017; Schollaert Uz et al., 2019). Agricultural applications benefit from
121 the combination of best performing products (Toreti et al., 2019), although care must be taken to
122 ensure that CFDs utilize bias adjustment techniques that maintain the statistics most relevant to
123 crop models (Famien et al., 2018; Galmarini et al., 2019; Parkes et al., 2019). CFDs created for
124 application across multiple scales, regions or sectors (e.g., Lange, 2019) may face additional
125 constraints in terms of variable and water/energy budget consistency than would be required of
126 only a single scale and sector.
127
128 Reanalyses are numerical weather prediction models reinitialized multiple times each day using
129 assimilation of observational data . These do not assimilate the specific variables needed for crop
130 models, however, so variables like maximum and minimum temperature, precipitation rate,
131 incident solar radiation, and near-surface humidity are the products of internal model processes
132 and parameterizations. Observational datasets also have uncertainties and biases, particularly in
133 regions where local observations are sparse, of poor quality, or difficult to access (Iizumi et al.,
134 2014, 2017; Ruane et al., 2015). Historical CFDs are typically generated by combining the
135 universal coverage and physical consistency of reanalysis outputs with observational data from
136 gridded *in situ* measurements and satellite remote sensing in order to create a uniform, coherent,
137 and bias-adjusted dataset to drive impact models. The resulting CFD is a globally-coherent dataset

with day-to-day sequences and variable relations from the reanalysis that have been adjusted to ensure that monthly statistics match observational products.

Table 1 provides an overview of the 11 climatic forcing datasets (CFDs) used in the GGCMI Phase 1 simulations evaluated in this study, including their underlying reanalyses, key bias-adjustment targets (in situ station and remote sensing products), and special notes on key aspects of the bias adjustment. Many of these datasets were compared against global station data by Ruane et al., (2015a), which also includes additional distinction between bias-adjustment methods in the various products. The GRASP dataset is particularly unique in that it does not adjust biases on a monthly basis according to target observational datasets; rather, the 1961-1990 period was used to determine time-constant adjustment factors that are then applied to reanalysis data over the entire 1980-2010 period (Iizumi et al., 2014).

Several CFDs share common characteristics that allow us to isolate the ramifications of particular options in the CFD-generation process. AgMERRA and AgCFSR utilize the same bias-adjustment methods and target observational datasets but differentiate in their selection of underlying reanalysis (same monthly values but different daily sequences). AgCFSR and CFSR are driven by the same reanalysis, but CFSR does not undergo any bias adjustment (same daily sequence but different monthly values). Likewise, both WFDEIcru, and WFDEIgpcc are based on the ERA-INTERIM reanalysis, which is also included without bias-adjustment (ERAI, same daily sequence but different monthly values). Additionally, WFDEIcru and WFDEIgpcc use the same bias-adjustment methods and target datasets with the exception of different monthly precipitation dataset targets (CRU or GPCC) (same daily sequences and monthly values except for monthly

161 precipitation). WFDEIcru and WFDEIgpcc also represent an updated application of the WATCH

162 methodology, while PFGv2 is an update to the Princeton CFD.

163

164 **Table 1**: Overview of Climatic forcing Datasets (adapted from Elliott et al., 2015). Acronyms are explained in table
165 footnotes. All GGCMs utilize these CFDs' daily maximum and minimum temperatures, precipitation, and solar
166 radiation, with some models additionally using wind speed, humidity, vapor pressure, and dewpoint temperature.

Dataset	**Underlying reanalysis** (resolution) [reference]	**Years**	**CFD native resolution**	**Bias-adjustment notes** (and key reference)
AgCFSR	CFSR (≈0.3°) [Saha et al., 2010]	1980-2010	0.5°/0.25°	Monthly temperature and precipitation values match ensemble of CRUTS3.10 (Harris et al., 2014), GPCCv6 (Fuchs, 2009; Rudolf et al., 2010), and WM (Willmott and Matsuura, 1995), with adjustment to CRUTS3.00 wet day frequency and SRB solar radiation (Stackhouse, Jr et al., 2011). Diurnal temperature range matches CRU (on average). Monthly precipitation climatology from high-resolution satellite products, although that information is lost at 0.5° resolution used in this study. Includes vapor pressure, dew point temperature, and relative humidity at time of maximum temperature (Ruane et al., 2015)
AgMERRA	MERRA (0.5°x0.66°) [(Rienecker et al., 2011)]	1980-2010	0.5°/0.25°	Same bias-adjustment targets and methods as AgCFSR, but diurnal temperature range is adjusted be ¾ of the distance between MERRA and CRU (on average) and incorporates precipitation sequence from MERRA-Land (Reichle et al., 2011) dataset that utilizes GPCP observations (Ruane et al., 2015)
CFSR	CFSR (≈0.3°) [Saha et al., 2010]	1979-2011	0.3°	No bias-adjustment from original reanalysis (Saha et al., 2010)
ERAI	ERA-Interim (0.75°) [ECMWF, 2009]	1979-2019	0.75°	No bias-adjustment from original reanalysis (ECMWF, 2009)
GRASP	JRA-25 (1.125°) [Onogi et al., 2007] & ERA-40 (2.5° version) [Uppala et al., 2005]	1961-2010	1.125°	Adjusts to CRU-TS3.10 for temperature and precipitation, CRUTS3.0 wet-day frequency, CRU-CL1.0 winds, and SRB solar radiation. Time-constant correction factors derived from 1961-1990 period (Iizumi et al., 2014)
GSWP3	20CR (2°) [Compo et al., 2011]	1901-2010	0.5°	Adjusts to GPCC precipitation, SRB solar radiation, and CRU temperature (Dirmeyer et al., 2006)
PGFv2	NCAR Reanalysis 1 (2.8°) [Kalnay et al., 1996]	1901-2012	0.5°	Adjusts to CRU, GPCP, SRB, and utilizes the TRMM Multi-satellite Precipitation Analysis (Sheffield et al., 2006)
Princeton	NCAR Reanalysis 1 (2.8°) [Kalnay et al., 1996]	1948-2008	0.5°	Adjusts to CRU TS2.0, GPCP, SRB, and utilizes the TRMM Multi-satellite Precipitation Analysis (Sheffield et al., 2006)

WATCH	ERA-40 (2.5°) [Uppala et al., 2005]	1958-2001	0.5°	Adjusts to CRUTS2.1 temperature and GPCCv4 precipitation. Also known as WATCH Forcing Data (WFD) (Weedon et al., 2011); listed as element of GGCMI Phase 1, but not included in further analysis given year 2001 end date.
WFDEIcru	ERA-Interim (0.75°) [Dee et al., 2011]	1979-2012	0.5°	Monthly corrections to CRU TS3.1/CRUTS3.101/CRUTS3.21; includes longwave radiation (Weedon et al., 2018)
WFDEIgpcc	ERA-Interim (0.75°) [Dee et al., 2011]	1979-2010	0.5°	Same as WFDEIcru but precipitation adjusted to GPCCv5/v6 (Weedon et al., 2018)

* All CFDs were applied on a common 0.5° x 0.5° grid for crop model simulations and analyses in this study; Native resolution shows highest level of distinction for CFD (AgMERRA and AgCFSR shown separately for all variables / precipitation only)

NCAR = National Center for Atmospheric Research (USA)
CFSR = NCAR Climate Forecast System Reanalysis (USA)
MERRA = Modern Era Retrospective-analysis for Research and Applications (USA)
JRA-25 = 25-year Japanese Reanalysis (Japan)
ERA-40 = European Centre for Medium-range Weather Forecasting 40 year reanalysis (UK)
ERAI = European Centre for Medium-range Weather Forecasting Interim reanalysis (UK)
WM = Willmott and Matsuura, 1995
SRB = NASA/GEWEX Solar Radiation Budget (USA)
GPCP = Global Precipitation Climatology Project (USA)
GPCC = Global Precipitation Climatology Centre (Germany)
CRU = Climatic Research Unit (University of East Anglia, UK)
CMORPH = Climate Prediction Center Morphing Product (USA)
PERSIANN = Precipitation Estimation using Remote-Sensing and Artificial Neural Networks (USA)
TRMM = National Aeronautics and Space Administration Tropical Rainfall Measurement Mission (USA)
TMPA = TRMM Multi-satellite Precipitation Analysis (USA)

This study analyzes agroclimatic aspects of CFDs using methods established in Ruane et al. (2018) to target agricultural productivity. Seasonal climate factors are calculated according to the local major growing seasons for maize, wheat, rice, and soybean determined by GGCMI protocols for planting and average harvest dates (Elliott et al., 2015). In many cases this information is documented on a country-level, missing differences within a country that can be important to regional production.

We evaluate CFDs for the 1980-2010 period, offering a 'current' climatology containing the 30 complete growing seasons that led to harvests from 1981-2010. This includes data from 1980 to account for regions where the growing season overlaps January 1st such that planting in 1980 led to a harvest in 1981. Simulations were run with CO_2 concentration data from Mauna Loa (Thoning et al., 1989). This period also included substantial climatic trends in many regions owing to large-

scale modes of climate variability, as well as anthropogenic climate change, which required us to detrend GGCMI outputs when comparing against detrended FAO production anomalies (which were also detrended, as described in Section 2.4 below). The WATCH forcing dataset is not included in further analyses for this study given that it does not extend beyond 2001, but we do include analysis of simulations driven by the Princeton dataset up to 2008.

2.2 Global gridded crop models

Crop models track daily water, carbon, and nitrogen balances in the plant and field environment progressing through developmental stages as determined by genotype parameters, field management, and climate drivers. These models have been developed using extensive observations and field and chamber trials, with many AgMIP-facilitated intercomparisons helping to elucidate strengths and weaknesses associated with various modeling approaches (Martre et al., 2015; McDermid et al., 2015; Ruane et al., 2017; Zhao et al., 2017).

The process-based crop models utilized in this study (Elliott et al., 2015; Müller et al., 2017) are configured using information about the cultivar genotype (e.g., temperature-based phenology, heat and drought resistance), soils (e.g., 1 to 2 meters of layered texture and water holding properties), farm management (e.g., tillage methods, planting and harvest dates, fertilizer and irrigation applications), and climate (as noted in previous section). Müller et al. (2019) and Supplementary Material S2 provide a more complete description of the 14 GGCMI models and 3 configuration types utilized, including 2 configurations in which growing season and fertilizer levels are harmonized for consistency. Irrigation is assumed to be unconstrained by water availability and any soil water deficit is balanced the next day without application or conveyance losses.

Calibration of any model parameters was performed at the global scale, although modelers configured soils, cultivars, and management practices regionally (e.g., to match GGCMI growing season harmonization protocols). Observational production data were used by some models to calibrate mean yields, but no models incorporated information about the observed interannual anomalies in focus for this study.

The goal of this current study is to isolate the role of climatic forcing dataset and ensemble selection in GGCM historical performance, and we refer readers to (Müller et al., 2017) for a more detailed evaluation of GGCM-based differences in capturing historical national yield variation. The group of models include several with common origins, as described by Rosenzweig et al. (2014; Supplementary Information); however, large variations in included model processes, configuration settings and calibration datasets mean that each of the models in the ensemble are substantially distinct from one another (see Müller et al., 2019, and Supplementary Information S2). Folberth et al. (2019) further evaluated differences in the 5 different modeling group simulations stemming from the EPIC model, finding that yield estimates were distinguished by differences in model versions, parameterization, management assumptions (beyond those harmonized within GGCMI), soil attributes, and cultivar distributions.

2.3 Simulation subsets and ensembles for analysis

Table 2: Coverage of Global Gridded Crop Models (GGCMs), Climate Forcing Datasets (CFDs), and GGCM configuration settings (see Supplementary Material S2 and S3 for configuration and model information). Underlined models are used in the '+' subset for each CFD, and the bolded configuration was selected for analysis when outputs from multiple configurations were submitted for a given GGCM.

GGCMs	Climatic Forcing Datasets										
	AgCFSR	AgMERRA	CFSR	ERAI	GRASP	GSWP3	PGFv2	Princeton	WATCH $	WFDEIcru	WFDEIgpcc
CGMS-WOFOST		D									D
CLM-Crop		H, N, D									H, N, D
EPIC-BOKU	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D
EPIC-IIASA ^	H, N	H, N, D			H				H, D		H, N, D
EPIC-TAMU *	H, N	H, N	H, N	H, N	H, N			H, N	H, N	H, N	H, N
GEPIC	H	H, N, D			H		D		H		H, N, D
LPJ-GUESS	N	N, D	N	N	N	D	N, D	N	N	N	N, D
LPJmL	N, D	N, D	N, D	N, D	N, D	D	N, D	N, D	N, D	N, D	N, D
pAPSIM ~	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D			H, N, D	H, N, D	H, N, D	H, N, D
pDSSAT	H, N, D	H, N, D	H, N, D	H, N, D	H, N, D			H, N, D	H, N, D	H, N, D	H, N, D
PEGASUS ~	H	H, N, D	H	H				H	H	H	H, N, D
ORCHIDEE-CROP		H, N, D				H, D †		H, N, D ††			H, N, D †††
PEPIC	H, N, D	H, N, D			H, N, D	H, N, D	H, N, D			H, N, D	H, N, D
PRYSBI2 +	D	D			D			D	D	D	D

^ EPIC-IIASA did not run Rice with AgCFSR or WFDEIgpcc
** EPIC-TAMU only ran Maize and Wheat*
~ pAPSIM and PEGASUS did not run Rice
+ PRYSBI2 only ran irrigated lands
$ WATCH only goes to 2001; not included in ensemble

Simulations Available	GGCM Configurations
1 Configuration	H = Harmonized
2 Configurations	N = No N Limitation
3 Configurations	D = Default

† No Rice with H
†† No Wheat with H, no Rice or Wheat with N, only Rice with D
††† Only Rice and Wheat with N

Table 2 shows the complete set of GGCM Phase 1 simulations, which were run for both rainfed and irrigated conditions. Gaps in the table reflect that resource and structural constraints limited the ability of many modeling teams to run every requested combination of CFD, configuration and crop species. In order to achieve complete multi-model coverage for at least two WFDs, each GGCM was specifically requested to run the AgMERRA and WFDEIgpcc CFDs and then as many additional CFDs as resources allowed. There are relatively fewer simulation outputs submitted for the GSWP3 and PGFv2 CFDs as these were added to the GGCMI protocol later in the project timeline. As our interest is in determining the response of GGCMs to the CFDs' growing season climate, we prioritize the simulations with consistent planting and harvest dates ([H and N] > D) and selected configurations that included nitrogen limitations where available (H>N), resulting in a final prioritization of H>N>D (see Supplementary S2 for further model and configuration information). Analysis here focuses on the relative seasonal anomalies for each GGCM simulation, which are a better reflection of climatic response than the raw anomalies influenced by mean bias

and further questions of model configuration such as soil nitrogen and cultivar characteristics (Müller et al., 2017).

To isolate the implications of the CFD selection in the full ensemble, we identify two types of GGCM-CFD groupings that sample across the crop model dimension:

'+' subset [per CFD]*:* A consistent subset of GGCMs across CFDs, representing the 7 GGCMs (5 for rice) that ran most CFDs (underlined in Table 2): EPIC-BOKU, EPIC-TAMU, LPJ-GUESS, LPJmL, pAPSIM, pDSSAT and PEGASUS, using the bolded and underlined configuration in Table 2. The '*AgMERRA+*' subset, for example, is the ensemble average of these 7 GGCMs simulating the AgMERRA CFD using the specified configuration.

'All' subset [per CFD]*:* All GGCMs that ran a given CFD, using the bolded configuration. The '*AgMERRA_all*' subset, for example, includes all GGCMs that ran the AgMERRA CFD using the specified configuration.

We also form ensembles across both the climate and crop model dimensions of GGCMI in order to look at overall GGCMI performance:

'Ensemble+' subset: All GGCMs that were included in the + ensembles across all CFDs (bolded and underlined in Table 2). This represents the aggregate performance of the core set of GGCMs that ran most CFDs.

'Ensemble-all' subset: All GGCM/CFD combinations marked as bold in Table 2 (e.g., 91 model simulations in total for maize). To our knowledge this is the largest GGCM/CFD ensemble to have been constructed, and we examine it here to quantify

264 the potential added benefit given that the resources required for such large community
265 efforts typically preclude their use for individual applications.
266
267 Each of these subsets is designed to build on AgMIP findings that the statistics of an ensemble of
268 models performs better than any single model when evaluated across a broad spectrum of
269 environments and systems (Bassu et al., 2014; Fleisher et al., 2017; Jägermeyr et al., 2020; Martre
270 et al., 2015; Müller et al., 2017; Nelson et al., 2014; Wallach et al., 2015; Zhao et al., 2017).
271 Consequently, no model is given more weight within any particular ensemble when calculating
272 ensemble statistics (Wallach et al., 2016). Müller et al. (2017) provide analysis of individual
273 GGCM performance, which is not our focus here,
274
275 Analysis of the '+' subsets for each CFD therefore provides unprecedented insight into these
276 CFDs' effects on agricultural simulations with a consistent crop model ensemble rather than being
277 dependent on a single crop model. Note that the '+' ensemble contains 7 models for maize, wheat,
278 and soybean, but only 5 models for rice given that pAPSIM and PEGASUS did not provide data
279 for rice. The '+' ensemble includes two EPIC GGCMs but these employ different core EPIC model
280 versions and a number of differences in configuration for soils and management (Folberth et al.,
281 2019). The 'All' subsets indicate whether the inclusion of additional GGCMs would have altered
282 the ensemble's response to the CFD response. These contrast with the '*Ensemble-all*' subset that
283 provides the overall GGCMI Phase 1 ensemble performance, which benefits from both an
284 ensemble of CFDs and GGCMs although the relative weight of each depends on the outputs
285 provided (Table 2). An example of GGCM/CFD ensemble construction is provided for Romanian
286 maize production anomalies in Figure S.2.

287

288 *2.4 Production datasets and processing*

289 GGCMs simulate crop yields (t/ha) that must be converted to production (total kg) using harvested
290 area masks in order to compare against observational production datasets. We calculate national-
291 level production from the 0.5° x 0.5° grid using harvested crop areas from the Spatial Production
292 Allocation Model v2.0 (SPAM), which approximates the year 2005 and does not change from year
293 to year (You et al., 2014). We aggregate rainfed and irrigated production values separately using
294 the corresponding GGCMI simulations and SPAM areas, then use the sum of rainfed and irrigated
295 production for national or global totals (following Ruane et al., 2018b; Porwollik et al., 2017).

296

297 Reference national production data are drawn from the United Nations Food and Agricultural
298 Organization (http://www.fao.org/faostat/en/#data). These data are reported by governments and
299 include heterogeneous cultivars, planting dates, fertilizer applications, irrigation methods, farm
300 management, and soils that cannot be fully represented by GGCMI's relatively coarse resolution
301 configurations. FAOstat data also reflect agricultural trends and anomalies beyond those driven
302 solely by field-level climate such as the effects of technological improvements, mechanization,
303 agricultural sector development, labor supply, geopolitical conflict, crop pests and diseases, and
304 large-scale disasters (e.g., earthquakes, floods, hurricanes). Overall, Ray et al., (2015) estimated
305 that the climate signal explains only about a third of observed global interannual yield variability.
306 For these reasons we detrend FAOstat data and crop model outputs. GGCMI has explored multiple
307 methods for detrending including first-difference, linear and polynomial fits, and there is a clear
308 tradeoff between consistency in methods and unique characteristics in production time series that
309 defy general approaches. While further efforts to isolate the climate signal in national production

datasets using a blend of locally-selected detrending techniques would be beneficial to GGCMI and the broader agricultural community, here we calculate anomalies from a 5-year moving average and compare against similarly detrended GGCMI outputs (as described in Müller et al., 2017, and further evaluate in Supplemental Materials S8). We assign each simulated growing season according to the average harvest date for the purpose of time series correlations, which can cause an occasional mismatch with FAO data that assigns harvests to the growing season in which the majority of the growing period occurs, leading to occasional differences for locations and seasons with early or late harvests that are on the other side of New Year's day than the average harvest date. Additional information on the use of production datasets is provided in Supplementary Materials S4.

To understand the role of climate variability on a sub-national scale we also employ the detrended United States Department of Agriculture's National Agricultural Statistics Service (USDA NASS) county-level production (https://quickstats.nass.usda.gov/). NASS production data are collected using reported and surveyed yields. We combine the average of NASS 1981-2010 county-level cropped areas with simulated yields to calculate simulated county-level production for comparison to NASS production anomalies.

We analyze uncertainty by determining the relative variation across ensemble members for each year compared to the variation of the ensemble median across years. Anomalies of precipitation and yield are first calculated as percentages to remove the effects of mean biases. We then calculate a standardized anomaly, which is the ratio of (i) the standard deviation of yearly ensemble member anomalies (compared to the ensemble mean) to (ii) the standard deviation of the ensemble

mean time series itself. Standardized anomalies >1 therefore indicate that a given annual anomaly is more likely due to ensemble member differences, while standardized anomalies <1 indicate that anomalies are likely representative of true interannual variation. Supplementary Material S.7 provides further detail on this method as well as two contrasting examples (Figure S.5).

3. Differences between climatic forcing datasets

CFD regional differences can be measured in myriad ways, including in their mean quantities, statistical distributions, sequencing of events, variable relationships, modes of variability, long-term trends, and spatial coherence. While a comprehensive atlas of CFD differences for each growing season is beyond the scope of this paper, **Figure 1** provides the median of the *CFD-all* ensemble for the rainfed maize growing season as well as biases for AgMERRA and WFDEIgpcc, which are the CFDs most commonly used within GGCMI Phase 1. Corresponding bias maps for the other CFDs are provided in Supplementary Figures S.2-S.4. It is important to emphasize that the *CFD-all* median is not necessarily the true value given common biases in observational datasets and methods across CFDs. Computing the median is likely to reduce some of the more outlying values, however; and therefore serves as a 'best-guess' basis to help us identify CFD differences that are likely relevant to agricultural production. The Princeton CFD was not included in these *CFD-all* climate maps because it ends in 2008, and because it displayed a checkerboard-like spatial bias pattern for precipitation threshold statistics. This suggests errors in re-gridding and/or interpolation of daily sequences in the GGCMI processing of that dataset, although this pattern was not apparent in the mean precipitation rate or other variables. The following metrics are evaluated for the rainfed maize growing season and cultivation regions as an example given that maize is an important staple crop with widespread cultivation.

356

357 *3.1 Mean growing season metrics*

358 Median *CFD-all* mean temperature in the rainfed maize growing season (Fig. 1a) generally follows
359 mean climatological patterns with warmer conditions in the Tropics and cooler conditions at higher
360 latitudes, as maize generally corresponds to the warm season unless part of a multi-cropped region.
361 CFD differences for mean temperature are generally low (<1°C). AgMERRA (Fig. 1b) is slightly
362 cooler than *CFD-all* in most of the United States, South America, Africa, Europe, and Indonesia,
363 and is slightly warmer in South and East Asia as well as the Middle East, Mexico, and South
364 America west of the Andes. WFDEIgpcc (Fig. 1c) has generally the opposite differential pattern
365 for the United States and Asia, and is also cooler than *CFD-all* in Europe, East Africa, and southern
366 South America.

367

368 Median *CFD-all* mean precipitation rate (Fig. 1g) reflects that rainfed maize generally grows
369 during the local wet season. AgMERRA is generally very close to the median CFD, with a slight
370 dry bias (≈5%) in Southern Russia and scattered small regions around the world. WFDEIgpcc has
371 a widespread wet bias with prominent differences >10% in the US Midwest, southern South
372 America, central Africa, Europe, and eastern India. Dry bias pockets >10% are less common, but
373 include southwest India and Myanmar.

374

375 Solar radiation in the *CFD-all* (Fig. 1p) reflects a combination of latitude, aridity, and seasonality
376 of the growing period, with cloudier conditions in the moist Tropics and reduced solar radiation in
377 the cool season maize in SE China and northern Mexico. AgMERRA has solar radiation very close
378 to the ensemble median. This is likely because many CFDs used the same NASA/GEWEX SRB

information (Stackhouse, Jr et al., 2011) and the others did not substantially differ on aggregate. WFDEIgpcc is generally cloudier in the tropics and sunnier at mid-latitudes (≈ +/- 1.5 MJ/m^2/day).

3.2 Distributional statistics within the growing season

Days where maximum temperature exceeds 35°C (Fig. 1d) are associated with negative impacts on maize pollination and production (Hatfield and Prueger, 2015), and patterns of this extreme temperature are a reasonable proxy for similar heat stress thresholds of wheat, rice, and soybean (Deryng et al., 2014; Schauberger et al., 2017). The median *CFD-all* sees more of these extreme heat days along the fringes of the major growing areas, including in the Sahel, Central Asia, NE Brazil, and the SW Great Plains and NE Mexico. AgMERRA is similar to *CFD-all* in major breadbaskets of the Central United States, Europe, and East Asia but tends to underestimate these days (by ≈10) in many tropical areas while overestimating them in semi-arid zones of Southern Africa, Southern South America, Central and West Asia, and the western Great Plains. WFDEIgpcc has an overall tendency towards more extreme heat days than *CFD-all* (by ≈10-15 in many regions), particularly in North America and along the fringes of the Amazon although it is similar to *CFD-all* in Europe and East Asia. WFDEIgpcc has more extreme heat even in several regions that showed an overall cool bias in mean temperature, suggesting a larger diurnal temperature range or broader distribution of daily extremes.

The number of wet days (P > 0 mm) within a growing season is an important proxy for the likelihood of dry spells and the overall proportion of precipitation that reaches the root zone (as opposed to running off). *CFD-all* median number of precipitation days per growing season (Fig. 1j) has a pattern generally similar to the mean growing season precipitation rate. AgMERRA has

fewer wet days in most maize-growing regions (especially in Africa, Mexico and South Asia), while WFDEIgpcc has more wet days (particularly in Africa, Southern South America, Eastern Europe, and the foothills of the Hindu-Kush-Himalayas). These differences are likely due to the additional bias-adjustment of the number of precipitation days within AgMERRA, AgCFSR, and GRASP which corrects a common drizzle-bias in reanalyses and leads to lower numbers than the *CFD-all* median.

Heavy precipitation days can be problematic for crops given that they are often associated with nitrogen leaching, and a larger proportion of total precipitation that falls as heavy precipitation events can reduce the overall soil infiltration and heighten the risk of low soil column spells. The median *CFD-all* number of days where P > 20 mm (Fig. 1n) has similar spatial patterns to the mean precipitation field, with the most frequent heavy events in the Amazon and monsoon regions of Asia. Different crop systems and soil profiles may have distinct thresholds for pluvial flooding and high runoff proportions, but we employ P > 20 mm as representative of the higher tail of the distribution and note that these days likely consist of heavier daily totals in smaller regions within the larger grid cell (see Supplementary Material S9). AgMERRA has more heavy wet days in the Tropics (≈3 more) and Western Africa in particular, likely as a secondary consequence of the reduction in drizzle days resulting in fewer (but more intense) precipitation events to match monthly totals. WFDEIgpcc has fewer very wet days than *CFD-all* with nearly the opposite geospatial pattern of bias as AgMERRA but more substantial reduction over the rainforests of Central Africa.

4. GGCM response to interannual climate

In order to understand the geographical distribution of climatic uncertainty, **Figure 2a,c** shows the standardized anomalies of rainfed maize growing season temperatures and precipitation from *CFD-all*, revealing the places where the CFD ensemble is less clear than a typical annual anomaly. High values over the Western Amazon, Central and Western Africa, and Borneo reflect the difficulty of obtaining high quality observational data in these regions. Standardized temperature anomalies above one, indicating CFD variance is greater than interannual variance, are also seen across much of Africa, the Hindu-Kush-Himalayas and Mexico, while lower values reflect larger interannual variance and consistent observational data across North America, Europe, Southeast Africa, India, East Asia, and Eastern South America. Most maize-growing areas that show high standardized temperature anomalies also show high standardized precipitation anomalies, with additional regions of larger CFD uncertainty for precipitation over East-Central Africa, the Middle East, Central Asia, and Southeast Asia.

Standardized anomalies of *Ensemble-all* rainfed maize yield simulations (**Figure 2e**) reflect many of the patterns seen in the standardized anomalies of growing season temperature and precipitation, underscoring the role of climate uncertainty in the overall simulation uncertainty. Standardized anomalies for simulated yield (peaking above 5 in some locations) are much larger than for the climate variables (which peaked closer to 2), suggesting strong interactions between uncertain GGCM configurations and climate variability within the simulated yields. High uncertainties are particularly prominent in developing countries, where crop simulation models are typically more difficult to configure given the relative lack of observational climate, soils, and agronomic data, their greater proportion of small-holder farms, and heterogeneous cultivars and management that

may not be consistently represented across GGCMs (Fritz et al., 2015). Regions with lower fertilizer usage have additional interactions between nitrogen stress and heat or water stress driven by climate, which would only be captured in GGCMs including nitrogen dynamics. Very few places have standardized yield anomalies below 1.

Standardized anomalies for the wheat and soybean (Supplementary Figure S.2) have similar patterns, with lower standardized anomalies for temperature than precipitation and the highest standardized anomalies coming from the simulated yield. Major production regions for maize, wheat, and soybean, which tend to be in the middle latitudes, typically have standardized anomalies <1 for climate variables, however the major production regions for rice (**Figure 2b,d,f**) in Southeast Asia have standardized precipitation anomalies >1, corresponding with substantial yield uncertainty likely dependent on CFD selection.

Figure 3 shows the correlation between median *Ensemble-all* yields with the median *CFD-all* growing season mean temperature, precipitation, and solar radiation to identify regional and crop-specific agroclimatic sensitivities. These fundamental climate responses motivate agricultural management decisions to reduce risk and point to areas where uncertainty in CFD variables is likely to strongly affect simulated yields. Higher correlations do not necessarily mean more accurate simulations, only that the GGCM simulations for a given crop have a strong and consistent response to regional variation of a particular climate variable.

Rainfed maize, wheat, rice, and soybean simulations each follow a common interannual pattern dominated by precipitation, with a positive correlation associating wet years with higher yields

and the worst-yielding years generally associated with drought. This relationship is strongest in areas with marginal rainfall totals and low irrigation, including NE Brazilian maize, wheat in the western Great Plains of North America, rice in the Sahel, and soybean in SE Europe. Temperature correlations are broadly negative, indicating that yields are higher in cool years and depressed in hotter conditions. Regional pockets show a positive correlation with temperature, indicating that warmer conditions can be beneficial along the cooler poleward and high-elevation fringes. Yield is often negatively correlated with seasonal solar radiation anomalies, which is likely a reflection of cross-correlations in the climate system whereby higher precipitation is associated with cloudier weather and droughts with clearer skies. It is also likely that high temperatures are cross-correlated with drier conditions and higher potential evapotranspiration.

Exceptions to this general pattern are also illustrative, as apparent in diverse median responses and a lack of consistency across GGCM/CFD combinations (represented by the hatching in Figure 3). Most crops are less sensitive to seasonal climate metrics in the moist tropics, where water is less often a limiting factor and interannual variations are generally small compared to the average growing season total. These areas are likely more responsive to shifts in sub-seasonal characteristics such as heat waves and the onset, exit, break periods, and intense precipitation events within rainy seasons. Rice, which is often grown in those moist tropical regions, is the least dependent on seasonal climate anomalies, a result consistent with the finding of reduced sensitivity to climate variability by Ray et al. (2015).

A comparison between rainfed and irrigated maize (top and bottom rows of **Figure 3**, respectively) highlights the ways in which water management affects climate response, most notably by

reducing the dependence on precipitation anomalies. Simulations of irrigated maize are not completely absent of precipitation response, however; showing signs that modeled irrigation management does not eliminate water stress in places like Texas, Spain, the Indus Basin, and Northern China. Negative responses to wet seasons may reflect nutrient leaching under increased runoff in Central America, Northern Europe, and India. Irrigated maize in Northern Europe and the northern Great Plains has an enhanced positive response to temperature compared to the rainfed maize, possibly related to a reduction in water stress that can accompany a warmer season's higher evapotranspiration demand. Irrigated areas also have relatively higher correlations with solar radiation as water supply diminishes the effects of the cross-relationship between sunshine and drought conditions.

5. Crop model performance with different climatic forcing datasets

The selection of climate forcing dataset(s) for GGCM applications often depends on the availability of those inputs as well as the resources allocated to exploring CFD uncertainty and/or benefiting from CFD ensemble behaviors. In this section we examine how the selection of a CFD compares to the use of the full CFD ensemble, examining global CFD differences, performance against regional production observations, and the simulations' ability to capture national production anomalies. Differences in GGCM-CFD performance also highlight the ramifications of a given CFD's selection of an underlying reanalysis and specific bias-adjustment targets and methods, as well as non-climatic configurations that reduce GGCM correlations regardless of the CFD selected.

517 *5.1 Global implications of CFD selection*

518 GGCM responses to CFD differences accumulate within any given regional farming system's
519 growing season, with the aggregate effect being a CFD-dependent crop yield for each grid cell for
520 each year. The temporal correlations between GGCM simulations using different CFDs therefore
521 indicate whether the CFD selection altered the overall climate response, with low correlations
522 indicating a fundamentally different agro-climatic relationship over the 1981-2010 period.

523

524 **Figure 4** presents the correlation between individual GGCM-CFD simulations and the median of
525 the *GGCM-all* ensemble. A full intercomparison of GGCMs across all crop systems is beyond the
526 scope of this study, so here we examine pDSSAT and LPJmL to explore potential interactions
527 between CFD selection and GGCM utilized. *LPJmL-AgMERRA* correlates highly with the median
528 of the *LPJmL-all* ensemble in much of the mid-latitudes; however, lower latitudes and many
529 developing countries have lower correlation suggesting more CFD-based uncertainty (Fig. 4a;
530 ($r>0.85$; with $r>0.9$ in many high producing areas). This is consistent with the regional patterns of
531 heightened temperature and precipitation uncertainty shown in Figure 2. Regions of high
532 correlations between *LPJmL-AgMERRA* and *CFD-all* cover the vast majority of maize-growing
533 regions including major breadbaskets in the US Midwest, Europe, China, and South America. This
534 suggests that a single *LPJmL-AgMERRA* simulation provides a broadly similar response to using
535 all CFDs and then creating an ensemble median. This is not true for all CFDs, however, as can be
536 seen for *LPJmL-CFSR* where lower regional correlations indicate a different pattern of interannual
537 response imposed by that specific CFD (Fig 4b). pDSSAT generally shows a larger difference
538 between any CFD and the *CFD-all* runs, as the highest-correlated AgMERRA and WFDEIgpcc
539 simulations still have lower correlations than were seen for LPJmL rainfed maize (Figs. 4c-d). The

correlations of *LPJmL-WFDEIcru* and *LPJmL-WFDEIgpcc* vs. *LPJmL-all* for rainfed rice (Figs. 4e-f) show increased dependence on CFD (lower correlations) over the major rice production zones of SE Asia than were seen for maize breadbaskets in places like the US Midwest (Fig 4a). Even as WFDEIcru and WFDEIgpcc differ only in their monthly precipitation totals, LPJmL simulations driven by WFDEIgpcc follow the *LPJmL-all* median closely, while those driven by WFDEIcru are considerably lower in much of Brazil, the Democratic Republic of the Congo, and Madagascar.

5.2 Regional implications of CFD selection

Differences between CFDs are likely to be heightened on smaller scales, particularly when they interact with unique vulnerabilities in regional crop systems. A focus on sub-national heterogeneity is also particularly important in large countries with production regions across multiple climate zones. **Figure 5** examines sub-national features of rainfed maize simulations driven by various CFDs against the US NASS county-level production anomalies.

The importance of bias-adjustment is underlined by comparisons between *pDSSAT-AgCFSR* and *pDSSAT-CFSR*, with the non-bias-adjusted CFSR achieving substantially lower skill over nearly all US rainfed maize regions with particularly low values over the northwest Midwest (from Missouri through North Dakota, Fig. 5a,b). Both CFDs use the same underlying CFSR reanalysis, so differences here are related to monthly mean climate, the imposition of SRB solar radiation, changes in the number of precipitation days, and adjustments to the diurnal temperature range. A similar reduction in skill is seen in LPJmL simulations using the non-bias-adjusted the ERAI reanalysis compared to the WFDEIgpcc, which also is based on ERAI daily sequences (Figs. 5c-

d). In this case the swath of low-correlation simulations extending from Nebraska to Wisconsin appears in simulations run with both CFDs, indicating a bias stemming from crop model configuration rather than the selection of CFDs. Jägermeyr and Frieler, (2018) identified this as a problem related to erroneous planting dates and cultivars that have been updated in later LPJmL configurations.

The ensemble median of *pDSSAT-all* and *LPJmL-all* are highly correlated with NASS county-level production for most of the US (Fig. 5e,f). Different regions exhibit strengths and weaknesses for each GGCM, indicating that national level production anomalies are the aggregate across regions with heterogeneous skill. In general, *pDSSAT-AgCFSR* is not substantially different from *pDSSAT-all*, and *LPJmL-WFDEIgpcc* is likewise similar to *LPJmL-all*. This indicates that rainfed maize simulations over the US can utilize one of these CFDs without losing too much information that would otherwise be gained from the full CFD ensemble. AgCFSR, AgMERRA, WFDEIcru, and WFDEIgpcc all capture similarly high levels of correlation for LPJmL and pDSSAT rainfed maize, with CFSR and ERAI (the unadjusted reanalyses) and GRASP showing lower correlations. In *some* regions the best-performing CFD has higher correlations than the *CFD-all* median, but *CFD-all* excels at being near the top correlations for all regions.

5.3 National implications of CFD selection

Figure 6 displays correlations between detrended FAO national production reports and simulated production (including rainfed and irrigated areas) from 1981-2010. The top 20 producing countries (2013-2017) for maize, wheat, rice, and soybean are shown using the *CFD+* ensembles (featuring the largest common subset of GGCMs), allowing us to identify the climate-driven signal

(independent of GGCM differences) and its correlation with FAO reports for each country and crop type. We also include the larger *AgMERRA-all* and *WFDEIgpcc-all* ensembles to understand the ramifications of including additional GGCMs, *Ensemble+* to understand how an ensemble of CFDs affects performance for the common GGCM subset, and *Ensemble-all* for the complete GGCMI Phase 1 set of GGCM-CFD combinations (bolded configurations in Table 2). The final column in Figure 6 shows correlations between the simulation ensembles and the total global production of each crop. Below we highlight the main features of these results, with broader interpretation provided in the discussion section that follows.

5.3.1 National maize production anomalies

Simulations of leading national maize producers show statistically significant positive correlations ($p<0.05$) for many of the top producing countries, indicating that the simulations are capturing a strong climatic signal within the FAO reports (Fig, 6a). The most apparent patterns in correlations come from differences between countries, whereby simulations tend to have similarly high (or low) correlations in all ensembles for a given country. This leads to stark differences between, e.g., Romania (relatively high correlations for nearly all ensembles) and Nigeria (relatively low and insignificant correlations for nearly all ensembles). Due to Serbia's independence and separation from Montenegro in 2006, only 5 years of FAO-reported production overlap with the 1981-2010 climatology, despite being a top-producer for maize and soybean in the 2013-2017 period; therefore, correlations for Serbia have been excluded from Figures 6a,d.

Bias-adjusted CFDs tend to produce higher correlations in Figure 6 than the raw reanalyses (CFSR and ERAI) and the GRASP dataset that adjusted according to fixed parameters determined from a

609 previous climatological period. *AgMERRA+* and *WFDEIgpcc+* are typically among the highest
610 *CFD+* correlations. The addition of GGCMs for *AgMERRA-all* and *WFDEIgpcc-all* did not show
611 clear benefits over the corresponding *AgMERRA+* and *WFDEIgpcc-all* (correlations improved in
612 10 and 8 of the 19 countries, respectively) This is similar to expectations given that there is a
613 reduced benefit when adding to an ensemble that already has 6 GGCMs unless a unique simulation
614 feature is added, which seems to be the case in Brazil given higher correlations for both although
615 the additional models lower correlations in Nigeria. The ensemble of the GGCM subset and CFDs
616 in *Ensemble+* is nearly identical to the full *Ensemble-all*, with the latter showing higher
617 correlations in 13 of 19 maize countries.
618
619 Several ensembles produce significant correlations with FAO global production reports. These
620 include *AgCFSR+*, *AgMERRA+*, *ERAI+*, *WFDEIcru+*, *WFDEIgpcc+*, *AgMERRA-all*,
621 *WFDEIgpcc-all*, *Ensemble+*, and *Ensemble-all*. *WFDEIgpcc-all* has the highest global correlation
622 (r=0.682) as well the highest correlation out of all ensembles in 5 of the top 8 maize production
623 countries. *AgMERRA-all* correlations are significant for 16 of the 19 countries, with significantly
624 higher skill than any other ensemble in the Philippines and Ethiopia. These results highlight the
625 potential for broader GGCM application for national and global maize production decision
626 making. *Ensemble-all* had an increase in global correlation (+0.094) compared to *Ensemble+*.
627
628 *5.3.2 National wheat production anomalies*
629 Wheat simulations generally have lower correlations than were seen for maize, indicating a
630 comparatively smaller agroclimatic signal or common biases in the structure or configuration of
631 wheat models (Fig, 6b). Correlation levels are once again highly related to the various nations,

with simulation ensembles of the top two producing countries, China and India, not significantly correlated to their FAO production statistics (with the exception of *WFDEIgpcc-all* in China) even as positive correlations dominate most of the other countries. This may be due, in part, to the large area devoted to irrigated wheat in these countries, which lowers the response to drought hazards and therefore overall climate sensitivity. Diseases are also not included in GGCM simulations but can play a major role in wheat breadbaskets (Savary et al., 2019). Intensified systems in the United States, France, Germany, the United Kingdom, and the Ukraine also have mostly insignificant correlations even as weather data are likely of good quality, indicating a large role of irrigation and perhaps a muddled signal in grid cells where both spring wheat and winter wheat is present. GGCMI Phase 1 simulations only ran one wheat season per grid cell, which can miss second season production anomalies and underrepresent vernalization requirement effects. Subsequent GGCMI phases have conducted separate simulations for winter and spring wheat in order to better capture production in regions where both systems are prominent (Franke et al., 2020, 2019; Jägermeyr et al., 2020). Simulations capture high correlations indicating a strong climate response for Australian wheat, which is dominated by rainfed winter wheat demonstrating a strong precipitation response (Fig 3e). Simulated wheat in European countries showed little response to growing season temperature, precipitation, and solar radiation in Fig. 3, however; which is consistent with relatively low national-level correlations to FAOstat.

The bias-adjusted CFDs largely outperform the raw reanalyses and GRASP for most wheat countries. *WFDEIgpcc-all* increases correlations for China and Germany in comparison to *WFDEIgpcc+* likely due to high correlations in at least one of the added GGCMs, although a decrease in correlation is seen for Poland and the United States. *AgMERRA-all* similarly improves

upon *AgMERRA+* correlations in Canada and the Ukraine. Overall, *AgMERRA-all* and *WFDEIgpcc-all* both improved correlations in half of the countries. Although the *Ensemble+* and *Ensemble-all* have higher wheat correlations in Pakistan, there is otherwise little difference between *AgMERRA+*, *WFDEIgpcc+*, *Ensemble+*, and *Ensemble-all* which have significant correlations in 13, 13, 12, and 12 of the top 20 wheat producing countries, respectively. Global wheat anomalies are fairly consistently and significantly simulated by all ensembles, with *WFDEIgpcc-all* producing the highest global correlation (r=0.603) aided by relatively strong performance in China, Germany, and the United Kingdom.

5.3.3 National rice production anomalies

Rice simulations have the lowest FAO correlations of the four simulated crops (Fig. 6c). Significant correlations are highest for Japan, which Ray et al. (2015) also noted as being strongly driven by temperature variation, as is also evident in Figure 3. Significant correlations are also broadly seen for Bangladesh, Vietnam, Philippines, United States, North Korea, Egypt and Madagascar, but there are no clear patterns identifying geographic regions with cohesively high or low correlations.

Rice is largely irrigated across top producing countries, with a smaller weather signal in interannual yield fluctuations. Yet, insignificant rice correlations in many countries could be an indication of incomplete FAO data, inaccurate CFDs, poor GGCM simulation, or a realistically small agroclimatic response that may reflect regional farming systems or limiting factors beyond direct climate conditions. Ray et al. (2015) and identified that interannual rice variability was driven less by climate than were maize, wheat and soybean, which may also reflect the substantial

influence of geopolitical events and socioeconomic limitations in major rice producing countries over the 1981-2010 period that would influence FAO production data. Iizumi et al., (2018) similarly found weak attribution of climate change impacts in long-term rice trends. The simulation ensemble demonstrated only weak response to growing-season mean temperature and precipitation over the major rice baskets of East, South, and Southeast Asia (Fig. 3g-i). These are among the only major breadbaskets in the Tropics, which tend to have lower interannual variability of mean temperature and total precipitation than mid-latitude breadbaskets. These rice areas also have more uncertain climate information (Fig. 2) and have a higher proportion of total production coming from heterogeneous farming systems that are difficult to configure within GGCMs. GGCM configurations may also simulate upland (non-flooded) rice systems in areas where rice is grown in paddies (flooded), and only contain a maximum of one rainfed and one irrigated season even as it is common for some rice-growing areas to have two or three seasons in a given year (e.g., the *aus*, *aman*, and *boro* seasons in Bangladesh). Major flood events that can destroy large rice harvests in the Mekong, Indus, Ganges, and other river basins, as well as the influence of large hurricanes and typhoons, are also not resolved by crop models despite being substantial climate disasters (Lesk et al., 2016).

There is no substantial benefit in bias adjustment for national rice applications, with no clear differences in correlation levels between the raw reanalyses (CFSR, ERAI), GRASP, and the other CFDs adjusted to match monthly observations. The bias-adjustments within *AgCFSR+*, *AgMERRA+*, *WFDEIcru+*, and *WFDEIgpcc+* (but not *Princeton+*) lower correlations in Japan, although high correlations are seen when all GGCMs are included in *AgMERRA-all* and *WFDEIgpcc-all*. The top two rice production countries, China and India, are only significantly

simulated in the *AgMERRA+* and *AgMERRA-all* ensembles. Compared to *AgMERRA+* and *WFDEIgpcc+*, respectively, the additional GGCMs increase correlations for many countries in *AgMERRA-all* (notably Japan, Vietnam, the Philippines, the United States, and China but not India or Madagascar) and *WFDEIgpcc-all* (notably Japan and the United States but not Egypt or the Philippines). While the signal was mixed for *WFDEIgpcc-all*, 14 out of 20 *AgMERRA-all* country correlations were higher than *AgMERRA+*, including 10 that increased by ≥0.1 compared to only 2 where correlations dropped by ≥0.1. *Ensemble+* and *Ensemble-all* capture many of the stronger correlations from rice simulations, but both also see reductions in some country correlations (e.g., *Ensemble+* in Vietnam and *Ensemble-all* in North Korea). The highest global correlation is found in *AgMERRA-all* (r=0.347), aided by higher correlations in China, Vietnam and Thailand, with other ensembles unable to capture significant correlations with global rice production.

5.3.4 National soybean production anomalies

Soybean simulations have higher correlations overall than rice, with higher producing countries tending to have higher correlations and the lower producing countries tending to not be significantly correlated (Fig. 6d). The highest correlations are associated with the United States, Brazil, Argentina, Paraguay, South Africa and Indonesia, while Ukraine, Bolivia Russia are top-10 high-producing countries where relatively few ensembles capture a significant interannual signal.

The bias-adjusted CFDs have a larger number of significant correlations than the raw reanalysis (*CFSR+* and *ERAI+*) and *GRASP+* ensembles, which signifies a benefit to bias adjustment particularly in the highest producing countries. *AgMERRA-all* and *WFDEIgpcc-all* have slightly

reduced correlations compared to *AgMERRA+* (lower in 13 out of 19 countries) and *WFDEIgpcc+* (lower in 11 out of 19 countries) as the inclusion of additional GGCMs reduces the captured climate signal particularly for China, India, Paraguay, and Uruguay. *Ensemble+* and *AgMERRA+* produce a significant correlation in each of the top 7 countries, and *Ensemble-all* loses significant signals in China, India, and Uruguay.

Global correlations are generally positive but weaker than those seen for maize and wheat. Significant correlations are captured by *AgCFSR+*, *AgMERRA+*, *ERAI+* (top correlation at r=0.416), *GRASP+* and *Ensemble+*. The low global correlation compared to the top countries' high correlation is surprising, possibly indicating inter-breadbasket anti-correlations that act to offset a larger global signal. *Ensemble-all* global correlation is 0.313 lower than for *Ensemble+*, indicating a substantial loss of signal within the additional CFD/GGCM combinations.

6. Discussion

The analyses above demonstrate many ways that the selection of CFD strongly influences regional crop production simulations. Although it is not practical to analyze every combination of specific nations, cropping systems and crop model ensemble sets in this manuscript, the examples, approaches, supplementary material, and open data access of the GGCMI Phase 1 dataset provide a roadmap for further analysis. The extent of CFD influence depends on differences between CFD characteristics, crop models' biophysical responses to these differences, attributes of national and global production for each crop species, and the use of multi-GGCM and multi-CFD ensembles. Key findings are discussed below, with additional uncertainties in climate and crop model information described in Supplemental Material S8.

747

748 *Regional differences in climate information and responses.* CFDs differ most strongly in regions
749 where in situ observations are sparse, inconsistent or incomplete (Figure 2), and can have nearly
750 global differences in distributional or extreme characteristics (Figures 1 and S.3-5). Regional
751 cropping system models have different fundamental responses to climate variability in ways that
752 can make them more sensitive to CFD differences (Figure 3). The selection of CFDs is therefore
753 most influential in regions where agricultural systems respond strongly to a climatic variable with
754 strong observational uncertainties. Further analysis, and indeed GGCM development, is required
755 to investigate cropping system response to variables beyond the growing season mean climate
756 indices, as considerable variance is likely from sub-seasonal patterns, acute heat, drought and flood
757 extremes, severe storms, and connected impacts from sequential or compound hazards (Ben-Ari
758 et al., 2018; Grotjahn, 2020; Li et al., 2019; Raymond et al., 2020; Schewe et al., 2019).
759 Fundamental climate responses also help prioritize observational network and agricultural
760 resilience investments even as interannual response is not always a clear predictor of long-term
761 climate change risks (Ruane et al., 2016).

762

763 *GGCM/CFD abilities to capture observed interannual variance:* The selection of CFDs is only
764 able to influence a fraction of interannual production variations. GGCMI results (e.g., Figure 6)
765 are broadly consistent with the findings of Ray et al. (2015), who found that climate variation
766 explains only about one third of global observed yield variability, with upwards of 60% of variation
767 explained in some highly intensified breadbaskets and lower fundamental climate responses for
768 rice than maize, wheat or soybean. Lower correlations may also be related to non-representative
769 model configurations, including incorrect planted area fractions which can change from year to

year, growing season dates and cultivars (Jägermeyr and Frieler, 2018), the presence of multiple growing seasons (e.g., short and long rains), multi-cropping, sub-grid scale heterogeneity in climate and crop systems, soil types and textures, and alternative irrigation management strategies (Hoffmann et al., 2016; Lopez et al., 2017). High correlations between FAO data and simulation outputs are therefore indicative of strong climate forcing in national production anomalies and an ability of the GGCMs (driven by CFDs) to capture those anomalies. In some cases the GGCMI climate-driven ensemble captures a higher proportion of the FAO production variability that was evident in Ray et al., (2015), including for maize in Mexico, wheat in Iran, rice in Madagascar, and soybean in Paraguay.

Some crop species and countries are not as clearly limited by climate. GGCMI simulations generally produced the highest FAO correlations for maize, followed by wheat, soy, and rice. For each species there were countries with high and low correlations. High correlations countries tend to feature some combination of large-scale intensified farming, mid-latitude climates, less uncertainty in climate and farm configuration information, and consolidated production regions. Lower correlation countries tend to have a relatively large proportion of heterogeneous and small-holder farming systems, are situated in tropical regions with lower interannual variability, and lie in areas with more uncertain climate anomalies and field data (Figure 2). We would expect these process-based crop models to be more climate-limited than observations, as factors not included in the models reduce the coherence with the seasonal climate signal (e.g., sociopolitical events, labor or machine shortages, river floods, pests and diseases) (Ray et al., 2015; van Ittersum et al., 2016). Many of these non-climatic impact factors are more widespread in developing countries than in intensified agricultural regions of developed countries (van Bussel et al., 2015).

Overall performance of CFDs. This study further confirms the utility of climatic forcing datasets for agricultural applications (Toreti et al., 2019) and elucidates ways that CFD differences can affect crop model simulations (Figs. 4, 5, 6). Normalized anomalies between CFDs are larger for precipitation than for temperature, and differences between CFDs are larger for distributional characteristics and extreme events than for mean response (Figs. 1,2). The use of bias adjustment (AgCFSR vs. CFSR and WFDEI vs. ERAI) improved crop model simulation in many regions and countries, while the sequence of sub-monthly weather patterns (AgCFSR vs. AgMERRA) had a smaller impact (Figs. 5,6). The selection of large-scale precipitation datasets (WFDEIgpcc vs. GPCCcru) did not have a substantial overall effect on performance. These conclusions for complex biophysical models are consistent with those found by (Parkes et al., 2019) for empirical models. We advise those planning crop model applications for a given country and crop species to examine Figure 6 to ensure that their CFD is associated with high correlations against FAO production variability.

Effects of model ensemble statistics. GGCMI uses the 1980-2010 period to benchmark the performance of global gridded crop models (Müller et al., 2017), and this study has further demonstrated the utility of this period to elucidate the strengths and weaknesses of various GGCM/CFD ensembles through comparison against FAO anomalies. Comparing across minimal multi-GGCM ensembles for each *CFD+*, a major finding is that the difference between countries > difference between CFDs > difference between *CFD+* and *CFD-all* ensembles (the effect of more GGCMs on top of the multi-GGCM ensemble) > difference between *Ensemble-all* and *Ensemble+* ensembles (the effect of adding further GGCM/CFD combinations on top of the multi-

816 GGCM/multi-CFD *Ensemble+*). Differences between countries emphasizes the importance of
817 improving data collection for climate, soils, cultivars, and field management which can vary
818 widely by nation. Differences between CFDs can be substantial in some parts of the world (Figure
819 2), but our overall finding is that the bias-adjusted datasets (e.g., AgMERRA and WFDEIgpcc)
820 capture the bulk of the signal captured in the GGCMI ensemble. In light of previous AgMIP studies
821 on the benefits of small multi-crop model ensembles (Wallach et al., 2016), we recommend that
822 resources are likely better focused on additional configuration information and the inclusion of a
823 multi-GGCM ensemble (3-7 models) before conducting a multi-CFD ensemble. Here the maize,
824 wheat, and soybean *CFD+* ensembles had 7 GGCMs (5 for rice), and the further addition of
825 GGCMs was not consistently helpful to the extent that would justify investment for larger GGCM
826 ensembles (Figures 2, 3, S.2). Given that *Ensemble+* has 56 GGCM/CFD combinations for maize,
827 the lack of clear benefit from the full 91 GGCM/CFD combination *Ensemble-all* underscores that
828 the full GGCMI ensemble is not typically needed for practical application.
829
830 A number of agricultural system applications stand to benefit from more accurate climate
831 observation, modeling, bias-adjustment, and methods to merge these into CFDs, including
832 seasonal forecasting (Schauberger et al., 2017), disaster preparedness (Cottrell et al., 2019;
833 Jägermeyr et al., 2020; Lunt et al., 2016), climate change resilience (Franke et al., 2019; Hasegawa
834 et al., 2018; Rosenzweig et al., 2014; Ruane et al., 2018; Zhao et al., 2017), and the development
835 of more robust and sustainable markets and farming systems (Snyder et al., 2019; Valdivia et al.,
836 2015). A new generation of CFDs are now possible given updated reanalyses (Gelaro et al., 2017;
837 Hersbach et al., 2019) and observational products (Funk et al., 2015; Lange, 2019), which will
838 enable further crop modeling applications (e.g., Iizumi et al., 2017; Lange, 2019b, 2019c). CFD

characteristics also propagate into climate scenarios that use the CFD as a bias-adjustment target, so CFD deviations presented in Figure 1 and Figures S.3-5 may help explain differences in regional projections among studies. We include a similar comparison of the W5E5 dataset to the GGCMI CFD ensemble in Supplemental Figure S.5 given its application in forthcoming ISIMIP Phase 3 simulations. Improvements in CFDs, and the selection of a CFD particularly suited for a given regional farming system, are therefore important elements of a crop model application even as they are a limited element of broader application improvement efforts. Further opportunities for model development and application motivated by this study are described in Supplementary Material S9.

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Figures:

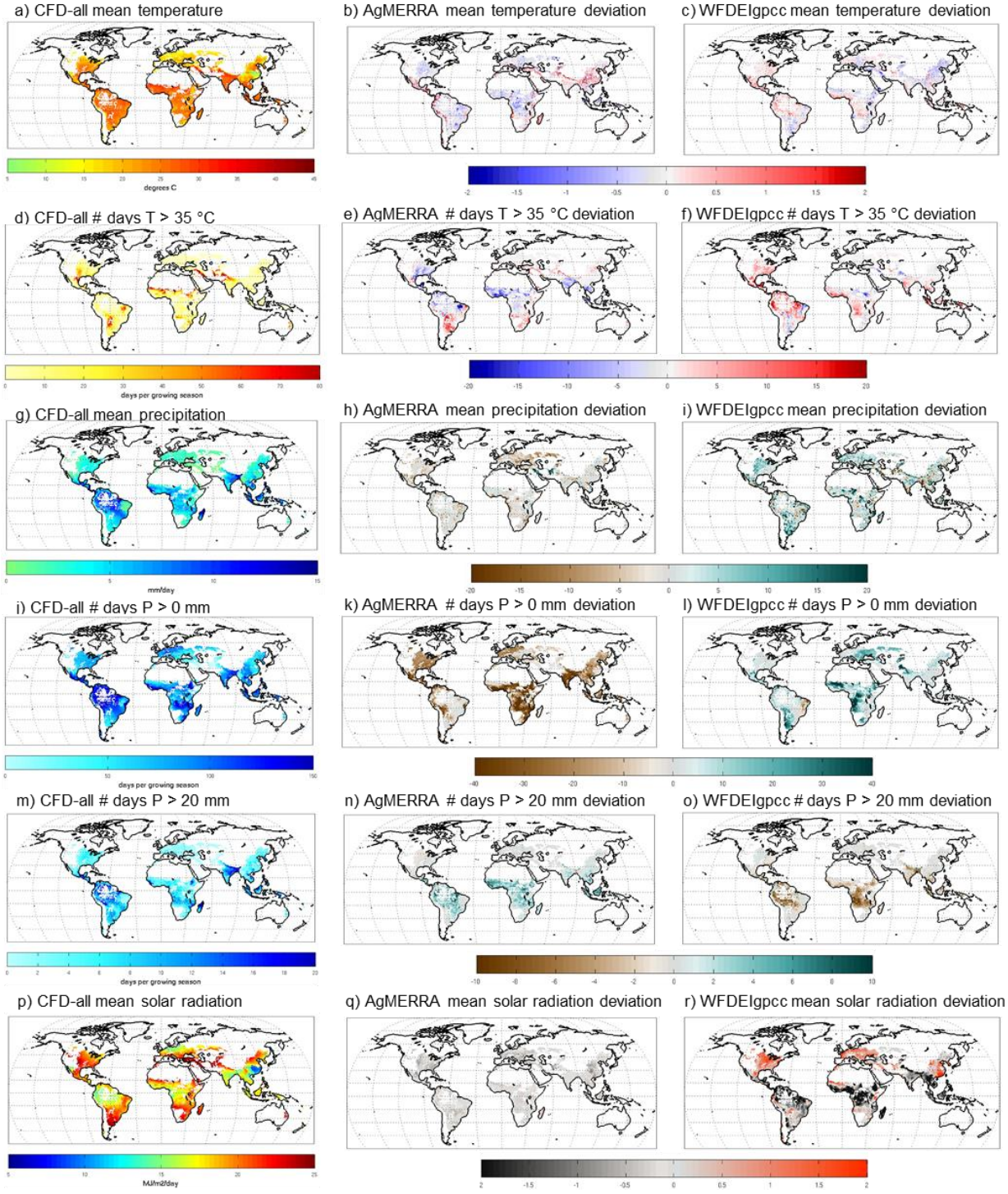

Figure 1: Rainfed maize growing season (1981-2010) mean and extreme climatologies over maize-growing areas (>10 ha) for (left) mean of all climatic forcing dataset (*CFD-all)* ensemble, (center) deviation of AgMERRA compared to *CFD-all*, and (right) deviation of WFDEIgpcc compared to *CFD-all*. From top to bottom, rows are deviations in growing season mean temperature (°C), mean precipitation (%), mean solar radiation (MJ m^{-2} day^{-1}), mean number of days where Tmax > 35 °C, mean number of days where P > 0 mm/day, mean number of days where P > 20 mm/day. AgMERRA and WFDEIgpcc are the most commonly simulated CFDs from GGCMI Phase 1; corresponding deviation maps for other CFDs are shown in Figures S.3-S.5.

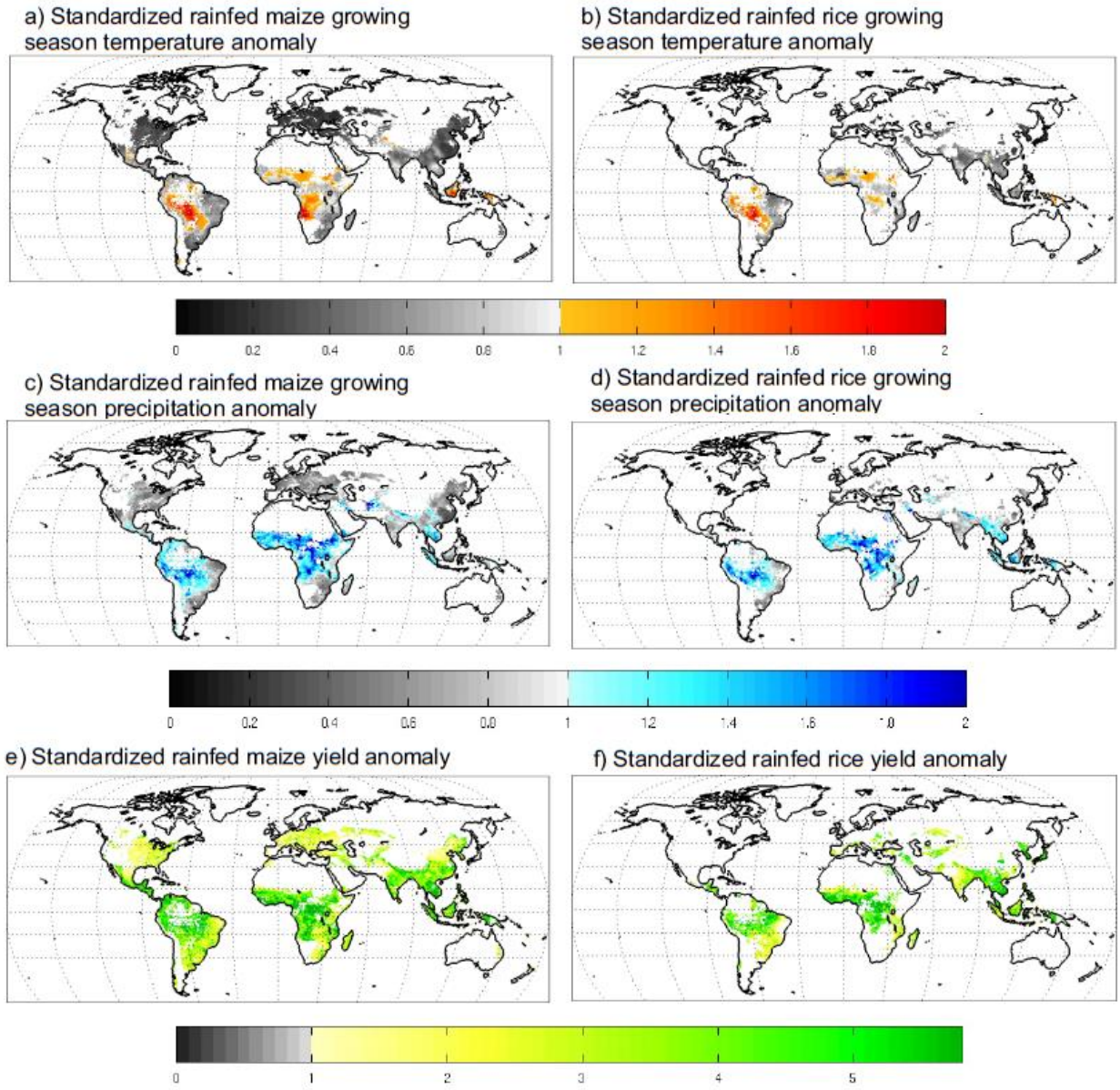

Figure 2: Standardized anomalies (unitless) for 1981-2010 rainfed maize growing season (left) and rainfed rice growing season (right) mean (a,b) temperature and (c,d) precipitation (across all climatic forcing datasets) as well as for (d,e) yield (across all GGCMIxCFD combinations). Standardized anomalies are the ratio of (i) the standard deviation of yearly ensemble member anomalies (compared to the ensemble mean) to (ii) the standard deviation of the ensemble mean time series itself. Only regions with >10 ha of harvested area (You et al., 2014) are presented; note that many areas with high standardized anomalies have low planted areas (Figure S1).

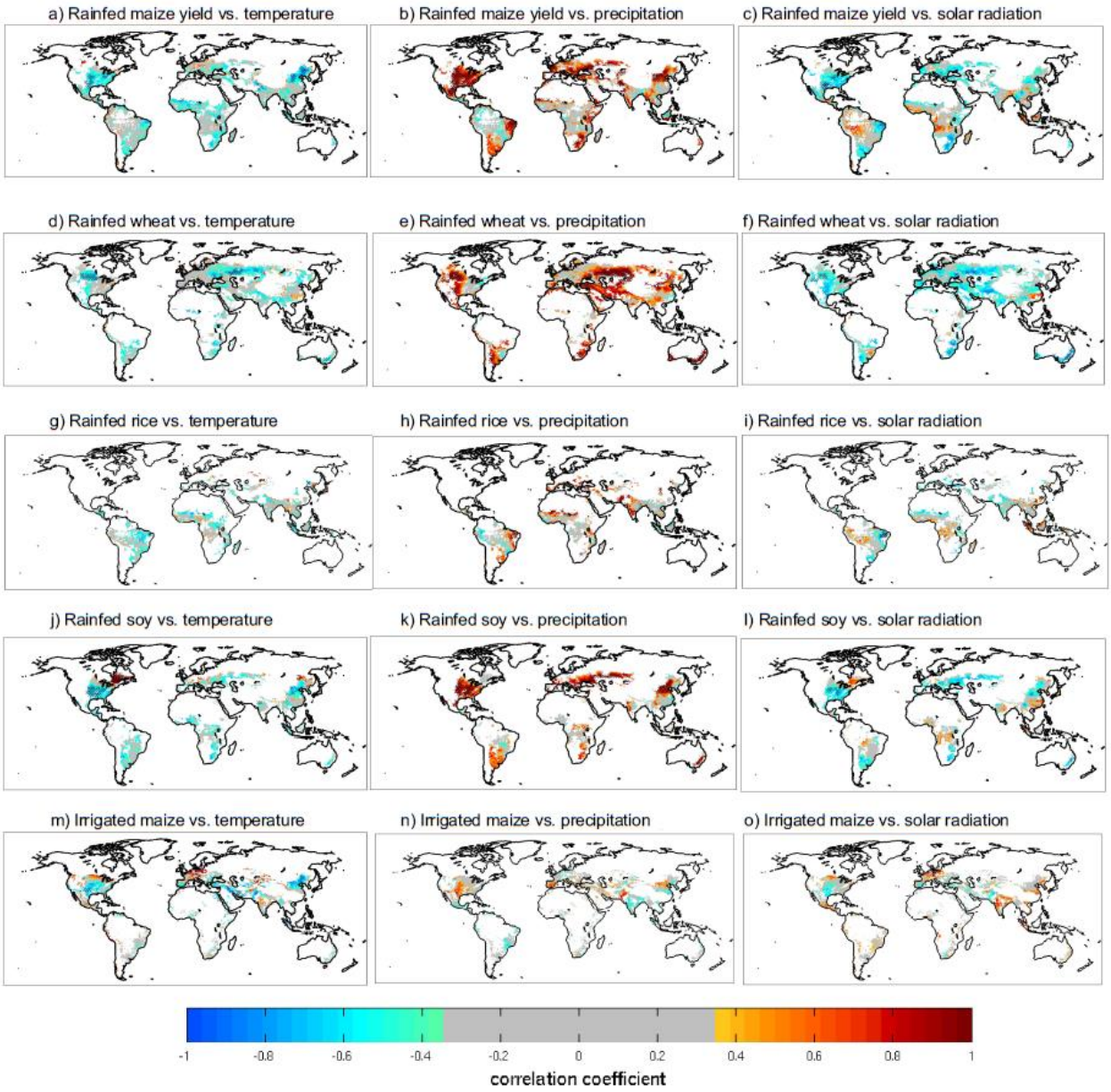

Figure 3: Regional and crop system-dependent GGCM responses to climatic forcing dataset (CFD) growing season anomalies (1981-2010), expressed as Pearson's correlations between the medians of all GGCMxCFD ensemble members (*Ensemble-all)* compared to the ensemble of all CFDs (*CFD-all)*. Rows are rainfed maize, wheat, rice, and soybean, as well as irrigated maize; columns are growing season mean correlations for temperature (left), precipitation (center), and solar radiation (right). Only correlations that are significant at $p<0.05$ level are colored and hatched areas indicate that 2/3 of GGCMxCFD combinations agree on a significant correlation in the same direction. Only regions with >10 ha of harvested area (You et al., 2014) are presented.

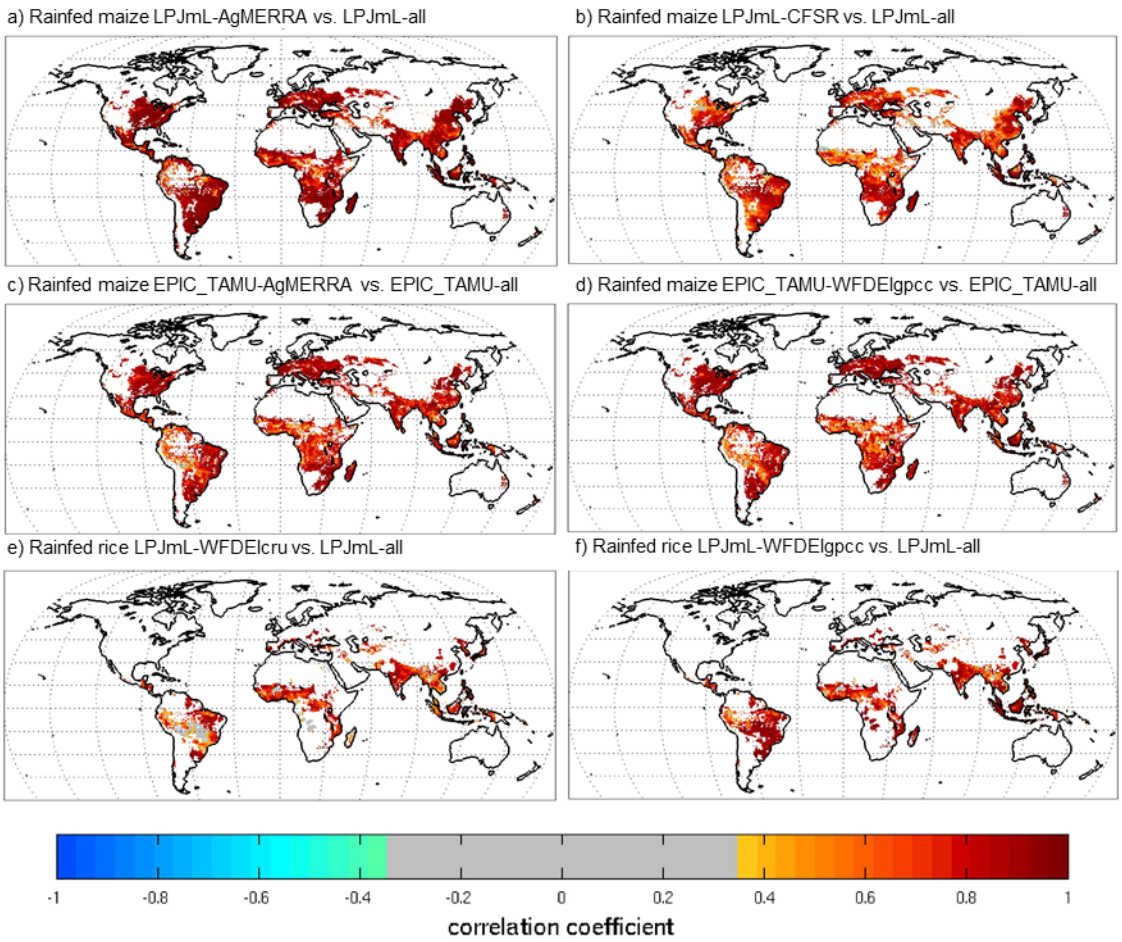

Figure 4: 1981-2010 correlations (*r*) between the LPJmL GGCM simulation driven by an individual climatic forcing dataset (CFD) and the ensemble of the simulations using all CFDs (*LPJmL-all*). a) *LPJmL-AgMERRA* simulations vs. *LPJmL-all* for rainfed maize; b) *LPJmL-CFSR* simulations vs. *LPJmL-all* for rainfed maize; c) *EPIC_TAMU-AgMERRA* simulations vs. *EPIC_TAMU-all* for rainfed maize; d) *EPIC_TAMU-WFDEIgpcc* simulations vs. *EPIC_TAMU-all* for rainfed maize; e) *LPJmL-WFDEIcru* simulations vs. *LPJmL-all* for rainfed rice; f) *LPJmL-WFDEIgpcc* simulations vs. *LPJmL-all* for rainfed rice. Only correlations that are significant at $p<0.05$ level are colored.

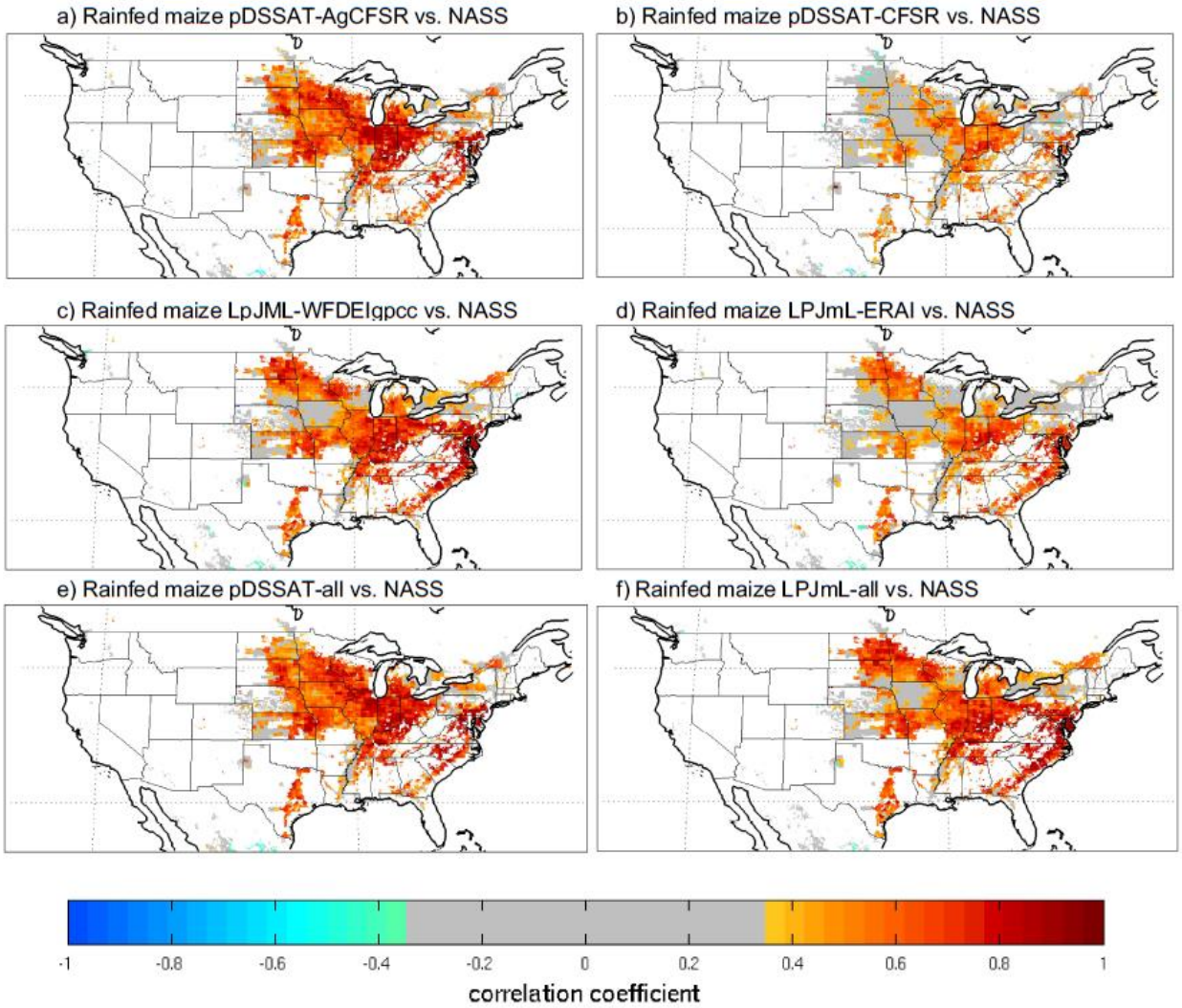

Figure 5: 1981-2010 correlations (*r*) between NASS county-level yield observations and GGCM yield simulations driven by various CFDs. a) *pDSSAT-AgCFSR*, b) *pDSSAT-CFSR*, c) *LPJmL-WFDEIgpcc*, d) *LPJmL-ERAI*, and median across all GGCM simulations using each CFD e) *pDSSAT-all*, and f) *LPJmL-all*. Only regions with >10 ha of planted area (You et al., 2014) are presented, and only correlations that are significant at $p<0.05$ level are colored rather than gray.

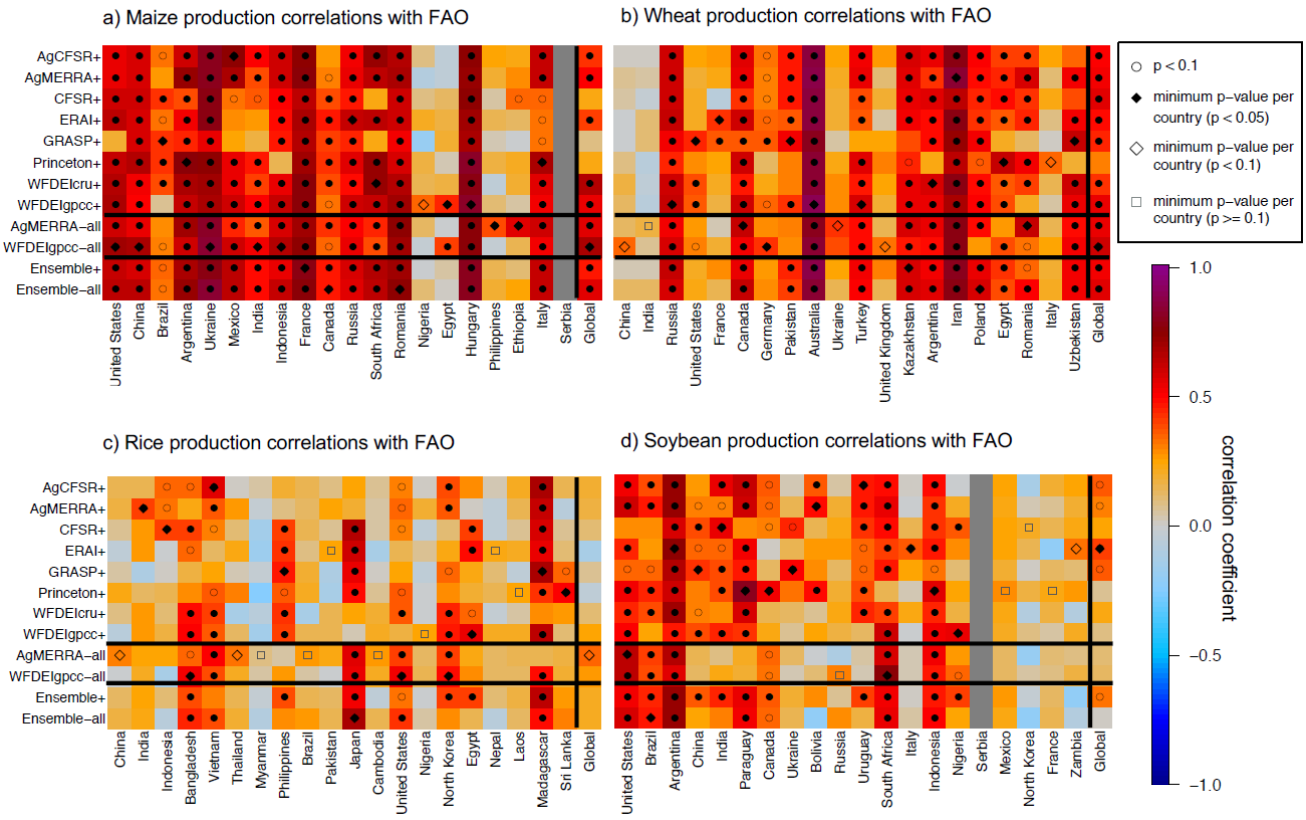

Figure 6: Comparison of simulated GGCMI-CFD subset production anomalies with 1981-2010 FAO national production anomalies for the top 20 producer countries (production-ranked from left to right) of a) maize; b) wheat, c) rice, and d) soybean. Thick black lines separate the *CFD+* ensembles, *CFD-all* ensembles, *Ensemble+*, and *Ensemble-all*, and the columns showing the top 20 producing countries and the global production response. Symbols indicate levels of significance (filled symbols are significant at 95th percentile level, open at 90th percentile level) as well as the highest correlation for each country (square indicates highest national correlation was not significant at 90th percentile level). Serbia maize and soybean are not shown (colored gray) as Serbia's recent independence makes for insufficient national production reports from 1981-2010; Ukraine (maize, wheat), Kazakhstan (wheat), and Uzbekistan (wheat) have only 18 years with FAO statistics available. GSWP3 and PGFv2 are not shown as not enough GGCMs simulated these CFDs.