

1 **Decoupling between ammonia emission and crop production**  
2 **in China due to policy interventions**

3

4 **Running Title: Cropland-NH<sub>3</sub> emission trend in China**

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37 **ABSTRACT**

38 Cropland ammonia (NH<sub>3</sub>) emission is a critical driver triggering haze pollution. Many  
39 agricultural policies were enforced in past four decades to improve nitrogen (N) use  
40 efficiency while maintaining crop yield. Inadvertant reductions of NH<sub>3</sub> emissions,  
41 which may be induced by such policies, are not well evaluated. Here, we quantify the  
42 China's cropland-NH<sub>3</sub> emission change from 1980 to 2050 and its response to policy  
43 interventions, using a data-driven model and a survey-based dataset of the fertilization  
44 scheme. Cropland-NH<sub>3</sub> emission in China doubled from 1.93 to 4.02 Tg NH<sub>3</sub>-N in  
45 period 1980-1996, and then decreased to 3.50 Tg NH<sub>3</sub>-N in 2017. The prevalence of  
46 four agricultural policies may avoid ~3.0 Tg NH<sub>3</sub>-N in 2017, mainly located in highly-  
47 fertilized areas. Optimization of fertilizer management and food consumption could  
48 mitigate three quarters of NH<sub>3</sub> emission in 2050 and lower NH<sub>3</sub> emission intensity  
49 (emission divided by crop production) close to the European Union and the United  
50 States. Our findings provide an evidence on the decoupling of cropland-NH<sub>3</sub> from crop  
51 production in China, and suggest the need to achieve cropland-NH<sub>3</sub> mitigation while  
52 sustaining crop yields in other developing economies.

53 **KEYWORDS**

54 ammonia, emission inventory, flux upscaling, decoupling, agricultural management,  
55 policy intervention

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## 57 1. INTRODUCTION

58 Through its important role in the formation of particulate matter, atmospheric  
59 ammonia (NH<sub>3</sub>) affects air quality and has implications for human health (Warner et al.,  
60 2017). Excess NH<sub>3</sub> in the environment also contributes to soil acidification (Liu et al.,  
61 2019), aquatic eutrophication (Elser et al., 2009; Wang et al., 2017; Zhan et al., 2017)  
62 and climate change (Hauglustaine et al., 2014). The cropping system, as a source of  
63 anthropogenic NH<sub>3</sub> emissions considered second only to animal husbandry, contributes  
64 more than one third of atmospheric NH<sub>3</sub> (EDGAR 2017; Paulot et al., 2014; Xu et al.,  
65 2019). Cropland NH<sub>3</sub> is considered to consist of emissions due to the application of  
66 synthetic fertilizers, manure and crop residue. Reducing these emissions becomes  
67 urgent in a situation of increasing food demand due to population growth and a  
68 changing diet in future (Fowler et al., 2015). However, NH<sub>3</sub> mitigation from cropping  
69 system is challenging as long as agriculture is optimized towards maximum food  
70 production.

71 Actually, high-income countries have long had NH<sub>3</sub> mitigation while sustaining  
72 crop yield in their sights (Zhang et al., 2020). For instance, member countries of the  
73 European Union (EU) have set a target to reduce NH<sub>3</sub> emissions through the National  
74 Emission Ceilings Directive since 2001 (UNECE 1999). In parallel, activities under the  
75 UNECE Convention on Long-range Transboundary Air Pollution in the context of the  
76 Gothenburg Protocol have set similar targets, including for countries outside of the EU  
77 (UNECE 1999). To provide support to the EU member states and parties which have  
78 ratified the Gothenburg protocol in attaining these ceilings, an 'Ammonia Guidance

79 Document' was developed describing detailed abatement techniques (Bittman 2017),  
80 and translated into national plans and legislation in several countries. China promoted  
81 abatement options of agricultural NH<sub>3</sub> emissions in the updated Clean Air Action Plan  
82 in 2018 (Liu et al., 2019). Although later than the EU, the Chinese government has  
83 developed policies that arguably addressed cropland-NH<sub>3</sub> emission mitigation before  
84 2018. For instance, the Agricultural Cost-saving and Efficiency-increasing Program  
85 (Wu 2000), and national Soil Testing and Nutrient Recommendation Program (MARA  
86 2015a) were promoted by the government for improving fertilizer use efficiency in  
87 1994 and 2005, respectively. However, cropland-NH<sub>3</sub> reductions associated with these  
88 policies are often not well evaluated at regional scale. This further results in an  
89 incomplete understanding of the drivers and mechanisms behind changing cropland-  
90 NH<sub>3</sub> emissions, and makes future projections and the assessment of further abatement  
91 potentials unreliable.

92 Obstacles of such evaluation lie in the missing methodological approaches to  
93 construct linkages between regional cropland-NH<sub>3</sub> emission and agronomic measures  
94 or policies. Existing bottom-up models cannot achieve this mainly due to the  
95 incomplete model structure and coarse spatial resolution of activity data in connection  
96 with agricultural management practices. For example, process-based models e.g.  
97 DNDC (Dubache et al., 2019; Li et al., 2019), FAN (Riddick et al., 2016; Vira et al.,  
98 2019), DLEM-Bi-NH<sub>3</sub> (Xu et al., 2019) emphasize explicit physicochemical processes  
99 of NH<sub>3</sub> transfer across the soil-air interface, but use highly simplified representations  
100 of agricultural practices. Data-driven models, which calculate emissions as

101 volatilization rates multiplied by the amount of N-fertilizers applied, could support the  
102 analysis of NH<sub>3</sub> trends and patterns in response to historical agricultural management  
103 practices beyond alternative climate conditions. However, using temporally consistent  
104 activity data on fertilizer schemes may distort the dynamical evolution of cropland-NH<sub>3</sub>  
105 emissions (Beusen et al., 2008; Bouwman et al., 2002; Bouwman et al., 1997; Riddick  
106 et al., 2016; Vira et al., 2019; Xu et al., 2019).

107 China has transitioned from an underdeveloped country to the second largest  
108 economy globally (Zhou et al., 2020). Driven by demand and policies, the consumption  
109 of vegetables, fruits and animal productions is increasing much faster than grain (NBSC  
110 2021). Governmental policies and subsidies are also stimulating the transition of  
111 cropping systems from resource dependence (land, fertilizers, water, labor, etc.) to  
112 technology-intensive since 1980s (Liu et al., 2016; Jiao et al., 2018). How cropland-  
113 NH<sub>3</sub> emissions are responding to technical adoptions and policy interventions over time  
114 and space is not well known. To address these knowledge gaps, an updated data-driven  
115 model coupled with high-resolution, crop-specific fertilization schemes (rate, form, and  
116 placement) was employed to quantify the spatiotemporal pattern of cropland-NH<sub>3</sub>  
117 emissions across China for the period 1980-2017. We focused on this period because  
118 the most rapid changes took place and the best defined policy interventions in this  
119 period and because of data availability. NH<sub>3</sub> emissions from the application of synthetic  
120 fertilizers, livestock manure, human excreta, and crop residues returned to croplands  
121 were considered. We then identified the driving forces behind changing NH<sub>3</sub> emission  
122 patterns by using the Logarithmic Mean Divisia Index method (LMDI, Ang 2015; Guan

123 et al., 2018) and assessed policy-induced NH<sub>3</sub> reductions by translation of the policies  
124 into these drivers. Finally, we explored the NH<sub>3</sub> abatement potential for different  
125 regions and crops by optimizing the fertilizer management and food consumption in  
126 future.

## 127 **2. MATERIALS AND METHODS**

### 128 **2.1 Data-driven upscaling model**

129 We estimated NH<sub>3</sub> emissions separately for 8 crop types (i.e., rice, maize, wheat,  
130 vegetables, fruits, potatoes, legumes, and other upland crops). The NH<sub>3</sub> emissions were  
131 calculated as volatilization rate (VR) multiplied by the amount of N-fertilizers applied,  
132 whereas environmental conditions and fertilization schemes are considered as  
133 correction terms for VRs. This type of function has been applied in previous bottom-up  
134 estimates (Huang et al., 2012; Misselbrook et al., 2004; Zhang et al., 2011) as follows:

$$135 \quad V_{i,k} = VR_{i,k} \times N_{i,k} \times S_{i,k} \quad (1)$$

$$136 \quad VR_{i,k} = VR_i^0 \times f(pH_{i,k}) \times f(A_{i,k}) \times f(u_{i,k}) \times f(T_{i,k}) \times f(M_{i,k}) \quad (2)$$

137 where  $V_{i,k}$  is NH<sub>3</sub> emission (kg) for crop  $i$  in grid  $k$ .  $VR$ ,  $N$  and  $S$  represent NH<sub>3</sub>  
138 volatilization rate (%), total N application rate (kg N ha<sup>-1</sup>) and sowing area (ha),  
139 respectively.  $VR^0$  is averaged from all available VR data, roughly corresponding to  
140 the baseline of VR under reference condition (chamber-based using urea applied  
141 through broadcasting with soil/ponded pH of 7 and air temperature of 20°C for upland  
142 crops or of 26°C for paddy rice).  $f(pH)$ ,  $f(A)$ ,  $f(u)$ ,  $f(T)$ , and  $f(M)$  represent the  
143 correction coefficients that reflect the effects of soil/ponded pH, air temperature and

144 wind speed (as measured 10 m above the surface) during the period of crop growth, the  
145 fertilizer type, and the method of fertilizer placement on VR, respectively. To avoid  
146 unrealistic values, the estimated  $VR_{i,k}$  were capped at 43%, which was consistent with  
147 the upper bound of the IPCC Tier 1 default value (Calvo et al., 2019). A detailed  
148 introduction and the refinement of the model can be found in Zhan et al. (2021) and  
149 supplementary information ([Text S1](#), [Figure S1](#) and [Data S1](#)), respectively.

## 150 **2.2 New dataset of fertilization schemes**

151 The data-driven model is forced by multiple gridded input datasets, including a  
152 dataset describing the total synthetic-N fertilizer application rate ( $\text{kg N year}^{-1}$ )  
153 developed by Shang et al (2019, see [Text S2](#)), and two new datasets associating the  
154 fractions of synthetic-N forms and placement to cropland. For N forms, we obtained  
155 the crop-specific fraction of three N fertilizers, including ammonium bicarbonate, urea,  
156 other N fertilizers at province level from the Statistics of Cost and Income of Chinese  
157 Farm Produce for the period 1980 - 2017 (NDRCC 2003; 2020). The placement of  
158 synthetic-N fertilizer largely depends on topographic condition, planting density, root  
159 depth and crop's economic value (Xi et al., 2013). Consequently, we assumed that all  
160 N fertilizers for rice paddies are applied on surface soil as mechanized incorporation is  
161 difficult (Zhang et al., 2016); and all N fertilizers for vegetables and fruits are  
162 incorporated manually due to their higher economic return and planting density. For  
163 field crops such as wheat, maize, potatoes and legumes, machines were typically  
164 employed to incorporate basal fertilizers into soil. We therefore assumed that the



165 incorporation proportions of basal N fertilizer could be calculated as a function of the  
166 sowing area fertilized by machine divided by total sowing area (data for both from  
167 CAAMM 2020) at province level. The criterion and methodology to determine the  
168 incorporation proportions are reported in [Text S3](#), [Table S1](#) and [Figure S2](#).

169 Annual N in livestock manure, human excreta, and crop residues ( $\text{kg N year}^{-1}$ )  
170 returned to croplands were estimated by a Eubolism model at county-scale (Shang et  
171 al., 2019). The N amount in organic fertilizers calculated based on county-scale activity  
172 data, such as the numbers of livestock by animal, rural population, and yields by crop  
173 type from 1980 to 2017 (Shang et al., 2019). In China, farmers usually broadcast the  
174 organic fertilizers on soil surface and incorporate them in a short time accompanying  
175 with plough or rotary tillage (Beusen et al., 2008; Femke et al., 2019; Xi et al., 2013).  
176 Provincial tillage proportion, i.e. sowing areas of tillage (CAAMM 2020) divided by  
177 the total (NDRCC 2020), were therefore taken as the incorporation proportion of  
178 organic fertilizer following Zhan et al., (2021, details see [Text S3](#) and [Figure S3](#)). All  
179 the dataset by crop and fertilizer were then disaggregated into grid maps at 1-km spatial  
180 resolution within each of the administrative units following the crop-specific Land-  
181 Use/cover Dataset produced for China by Liu et al. (2014). This dataset were developed  
182 based on Landsat TM\ETM+ images and field investigations at 10-year intervals from  
183 the 1980 to 2017.

### 184 **2.3 Driving forces behind changing $\text{NH}_3$ emissions**

185 To attribute changes in  $\text{NH}_3$  emission trends over time to different driving factors,

186 we first applied the Logarithmic Mean Divisia Index (LMDI, Ang 2015; Guan et al.,  
187 2018) to evaluate the four main driving factors, i.e. sowing area, cropping structure, N  
188 application rate and NH<sub>3</sub>-VRs for the period 1980-2017 (Text S4). Next, we analyzed  
189 the relative contributions of five secondary driving factors to the trends of cropland's-  
190 NH<sub>3</sub> VRs during 1980-2017 using our data-driven model (Text S5 and Table S2). The  
191 five factors include air temperature, wind speed, fertilizer forms, incorporation  
192 proportion of synthetic-N fertilizer and organic fertilizer.

193 Fertilization technologies and crop structure in China have experienced substantial  
194 transitions during the period from 1980 to 2017. This transition was driven at least by  
195 policy interventions. Since the mid-1990s, the Chinese government implemented four  
196 policies, i.e. ACE, VTB, EUP and STNR program (Table 1) to develop deep  
197 fertilization, adjust cropping structure, optimize fertilizer forms and reduce N  
198 application rate, respectively. Here, we translated the effects of these four policies  
199 directly on the related driving parameters, and then estimated the potential NH<sub>3</sub>  
200 emissions by assuming these policies had not been implemented. The main principle  
201 was fixed the four drivers at the level just before the year that policy was implemented,  
202 when we estimate the NH<sub>3</sub> emission afterwards. Our data-driven model was employed  
203 to calculate the contribution for each policy. Detail descriptions of above scenarios can  
204 be found in Table 1, Text S6 and Table S3.

## 205 **2.4 Future projections**

206 To explore the future NH<sub>3</sub> abatement potential of croplands, we performed four

207 scenario projections in ten-year intervals from 2020 to 2050. In the business-as-usual  
208 (BAU) scenario (Table 2), we only consider current (the year 2017) policies and  
209 national plans without any further intervention. However, the crop production will  
210 increase in line with projected increases of population and gross domestic product  
211 (GDP) as projected by Zhang et al (2020). Meanwhile, climate factors, i.e. air  
212 temperature and wind speed, changed following a conservative RCP2.6 (stringent  
213 mitigation scenario, predicts the global mean temperature increases of up to 2 °C by  
214 2100) future climate change scenario (PICIR 2021). Scenarios OFM and OFC predict  
215 the projections based on the same assumptions as BAU, but optimize fertilizer  
216 management (OFM) and food consumption (OFC), respectively (Table 2). For scenario  
217 OFM, N fertilizer rate was set according to the “N Surplus Benchmarks in China”  
218 following Zhang et al. (2019). Meanwhile, the incorporation proportion of synthetic-N  
219 fertilizers will achieve 80% for three staple food (i.e. wheat, maize and rice) according  
220 to the National Agriculture Mechanization Extension Plan (Zhang et al., 2020). For  
221 scenario OFC, the crop production will decrease by optimizing human diet structure  
222 following Zhang et al. (2020) and cut 50% of food loss and waste to achieve the Global  
223 Sustainable Development Goals (Clark et al., 2020; FAO 2020; Li et al., 2021). To  
224 achieve the most ambitious mitigation target, the ALL scenario was propose to combine  
225 all the mitigation options identified in OFM and OFC scenarios. Detail descriptions of  
226 above scenarios see Table 2, Text S7, and Table S4-S6. It should be noted that for the  
227 intermediate year of scenario OFM, OFC and ALL, we assume linear adoption from  
228 2017 until the adoption year (2050), at which point the technologies are entirely adopted

229 (Clark et al., 2020).

## 230 **3. RESULTS**

### 231 **3.1 Decoupling of NH<sub>3</sub> emission and crop production**

232 China's cropland-NH<sub>3</sub> emission was 1.93 Tg NH<sub>3</sub>-N in 1980, and almost doubled  
233 to 3.50 Tg NH<sub>3</sub>-N in 2017 (Figure 1). China accounted for about one third of the global  
234 cropland-NH<sub>3</sub> emissions, and was equivalent to the triple of the entire cropland-NH<sub>3</sub>  
235 emissions of EU and USA combined (Zhan et al., 2021). The emissions were mainly  
236 contributed by paddy rice (26-39%), maize (25-38%) and wheat (13-24%), followed by  
237 vegetables (1.1-7.9%) and fruits (0.8-4.8%) (Figure 1). However, total cropland-NH<sub>3</sub>  
238 emission increase was not linear, instead a rapid increase by 128.7 Gg NH<sub>3</sub>-N yr<sup>-2</sup> from  
239 1980 to 1996 ( $P < 0.05$ , period P1) and a slight descent of -7.3 Gg NH<sub>3</sub>-N yr<sup>-2</sup> after 1997  
240 ( $P < 0.1$ , period P2, Figure 1). Spatial analyses further confirmed that the shift from rapid  
241 increase to stagnation or slight decrease of cropland-NH<sub>3</sub> emission in P1 and P2,  
242 respectively, affected sowing areas that together account for 47.6 % of cropland-NH<sub>3</sub>  
243 emission (Figure 2a and 2b). The regions where NH<sub>3</sub> emission decreased are distributed  
244 in the North China Plain, the lower Yangtze River Basin and the Sichuan Basin during  
245 P2 (Figure 2b).

246 Our estimate of NH<sub>3</sub> emission from cropland was about one third lower than values  
247 derived from previous bottom-up models (EDGAR 2017; Fu et al., 2020; Kang et al.,  
248 2016; Ma 2020; Xu et al., 2016; Zhang et al., 2017) (Figure S4). The differences  
249 between our estimate and other inventories can be primarily attributed to the updates of

250 crop- and fertilizer-specific fertilization schemes based on sub-national data and the  
251 VRs upscaled from globally distributed 499 field observations. Scenario tests showed  
252 that the updates of N input data and VRs could explain 66% ~ 100% (for different years)  
253 of such discrepancies (Figure S5 and Table S8). The decreased NH<sub>3</sub> emission from  
254 cropland at the late stage of P2 (2006-2017) is inconsistent with some earlier estimates  
255 (Figure S4), but could explain the observed decreasing trend of atmospheric NH<sub>x</sub>  
256 depositions (Yu et al., 2019), while NH<sub>3</sub> emissions from livestock and industrial sectors  
257 remain stable or increase (EDGAR 2017; Fu et al., 2020; Kang et al., 2016; Ma 2020;  
258 Zhang et al., 2017; Meng et al., 2017, Figure S6).

259 The concept of decoupling here has been used to describe the relationship between  
260 environmental pressure and production growth (Bennetzen et al., 2016). The decreasing  
261 emission intensity, which defined as the cropland-NH<sub>3</sub> emission divided by total crop  
262 production, could indicate the decoupling of NH<sub>3</sub> emission from crop production. Since  
263 1995, the decelerating and declining NH<sub>3</sub> emissions has sustained an increasing crop  
264 production, suggesting a decoupling of NH<sub>3</sub> emissions from crop production at the  
265 national level (Figure 1). In 2017, three-fourth of provinces, which supply 96% of total  
266 crop yield (in kilocalories), have achieved the decoupling of NH<sub>3</sub> emissions with crop  
267 production. These provinces showed a clear northwestward trends (Figure 2c). Eastern  
268 coastal provinces (e.g., Zhejiang, Fujian and Guangdong) decoupled NH<sub>3</sub> emission  
269 from crop production before 1995; while the major crop-production provinces in east  
270 and central China decoupled in mid-1990s (Figure 2c). Provinces of coupled NH<sub>3</sub>  
271 emissions and crop production are mainly located in two regions. The first one

272 comprises some rich municipalities in eastern coastal parts, such as Beijing, Tianjin,  
273 and Shanghai, where sowing areas were diminished due to their economic development.  
274 The second one covers most parts of the less-developed provinces in western China,  
275 which account for only 4.0% of national sowing areas (Figure 2c).

### 276 3.2 Drivers of China's cropland-NH<sub>3</sub> emission trends

277 Changes in N application rates were the dominant driver of the NH<sub>3</sub> emission  
278 trends for the past four decades (Figure 3a). This factor alone led to the increasing NH<sub>3</sub>  
279 emission by 34% at the end of Period P1 (1980-1996), then its contribution decreased  
280 from 83% in 2003 to 60% in 2017 (Figure 3a). To feed the growing population, China's  
281 government introduced the Household Responsibility System to stimulate farmers'  
282 enthusiasm to farm since 1980 (Jiao et al., 2018). Economic benefits of crop yield  
283 growth incentivized synthetic fertilizer applications, that is, N application rate increased  
284 from 121 kg N ha<sup>-1</sup> in 1980 to 219 kg ha<sup>-1</sup> in 2007 (Figure 4e). However, N application  
285 rate started to decline continuously at an average of 0.82 kg ha<sup>-1</sup> yr<sup>-2</sup> after 2007 (Figure  
286 4e). This notable decline appears to be mainly associated with the intervention of STNR  
287 Program, which launched in 2005 to match the supply of nutrients with demand during  
288 field application. By the year 2013, the implementation area of the STNR program was  
289 increased six-fold (Figure 4e). Due to the timing of introduction of STNR, there appears  
290 to be an association between the decrease N application rate and NH<sub>3</sub> reductions in time,  
291 which suggests that the measures of STNR have played a role. The NH<sub>3</sub> reduction  
292 which promoted by STNR probably reached 1.8 Tg NH<sub>3</sub>-N in 2017 based on our

293 scenario estimates (Table S3), especially for North China Plain and Sichuan Basin  
294 (Figure 4a and 5d).

295 As the second most important driver, NH<sub>3</sub>-VR increased cropland-NH<sub>3</sub> emission  
296 by 14% by the end of period P1 (1980-1996), but decreased largely after 1994 (Figure  
297 3a). After 2010, the NH<sub>3</sub>-VR even exerted as a negligible factor (5%, Figure 3a). By  
298 further decomposing the effect of NH<sub>3</sub>-VR into climate and fertilizer scheme drivers,  
299 we find that climate change and the increasing shares of ABC and urea contributed  
300 largely (38% and 73%) to promote NH<sub>3</sub>-VR in P1 (Figure 3b). And the pronounced  
301 decreases of NH<sub>3</sub>-VRs were almost entirely related to the increasing proportion of deep  
302 fertilization by machine and diminished ratio of ammonium bicarbonate after 1994  
303 (Figure 3b). Such technology innovations seem to be supported by the ACE program  
304 and EUP guideline (Table 1) started in mid-1990s. To increase fertilizer efficiency,  
305 Chinese government implemented the ACE Program to promote deep fertilization in  
306 1994. For field crops (i.e. wheat, maize, potatoes and legumes), almost one third of  
307 sowing area was deep-fertilized using machines in 2017 (Figure 4b). At the same time,  
308 most medium- and small- size manufacturers in China had upgraded their production  
309 devices towards high concentration nitrogen fertilizer (i.e. urea, with 46% N content)  
310 to replace ammonium bicarbonate (only 17% N content but 1.47 - 2.29 fold VR  
311 compared to urea, Figure S1). The consumption of urea has increased 1.5 times between  
312 1996 and 2017, while the ammonium bicarbonate decreased by almost 69% in the same  
313 period (Figure 4d). These two policy interventions triggered innovations on fertilization  
314 method and fertilizer types. According to our estimates, the subsequent reduction of

315 NH<sub>3</sub> emissions may have amounted to 0.23 (ACE) and 0.95 (EUP) Tg NH<sub>3</sub>-N in 2017,  
316 especially for agricultural intensive regions (Figure 4e, 5a and 5c).

317 Another 23% increase in NH<sub>3</sub> emissions was driven by arable land expansion, but  
318 was partially offset by crop mix adjustment (Figure 3a). For example, in order to meet  
319 increased consumption of cash crops, Chinese government launched the VTB Program  
320 (Table 1) in 1988. Driven by this program, the sowing areas of vegetables and fruits  
321 increased by 185% and 79% during 1990 to 2003, respectively. Meanwhile, the areas  
322 sown with wheat and paddy rice declined by 29% and 20% at the same period (Figure  
323 4c). This structural transition in cropping patterns that occurred in P1 resulted in  
324 decreasing NH<sub>3</sub> emissions. The reason is that vegetables and fruits have lower VRs  
325 (about 78%) than that of staple crops due to their widespread deep placement (Figure  
326 S7). This transition probably resulted in NH<sub>3</sub> emission reductions of 0.12-0.27 Tg NH<sub>3</sub>-  
327 N yr<sup>-1</sup> by around 2000, but did not play a critical role after the mid-2000s due to the  
328 government's guideline to prevent the further decrease on sowing area of cereal crops  
329 (Figure 4c). Additionally, the effect of shift in crop mix compensated for each other  
330 across different regions (Figure 5b). For example, the increase in cash crop cultivation  
331 drove emission down in south China but up in North China Plain due to the area  
332 expansion of maize (Figure 5b).

333 Throughout the time period considered, policies appear to accelerate technical  
334 improvement and NH<sub>3</sub> emission reductions in cropland. Since 1995, policy  
335 interventions seemed play key roles to promote the decoupling of NH<sub>3</sub> emission from  
336 crop production for the provinces in east and central China (Figure 2c). Without these



337 policies, cropland-NH<sub>3</sub> emissions in China would remain coupled with crop production  
338 by the end of 2020s (Figure S8). The most effective technologies to achieve the  
339 decoupling of NH<sub>3</sub> emission from crop production were N application rate reduction  
340 and a wider application of urea, supported by the national STNR and EUP program,  
341 respectively (Figure 4a).

### 342 3.3 Targeted mitigation opportunities by 2050

343 Despite the fact that China has decoupled its NH<sub>3</sub> emissions from crop production  
344 at the national level, its emissions intensity in 2017 (1.37 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>) was still  
345 3 times more than the EU and the USA in 2000 (Zhan et al., 2021). We therefore  
346 explored the NH<sub>3</sub> mitigation potential for the next 30 years (2020-2050) by  
347 implementing strategies including optimization of fertilizer management and demand-  
348 side measures for diets.

349 China's crop demand is projected to increase by 140% by 2050 considering both  
350 economic development and population growth. This would require an additional  
351 sowing area of 35.4 Mha, with the total NH<sub>3</sub> emissions achieving 4.9 Tg NH<sub>3</sub>-N by  
352 2050 if maintaining the 2017 management practice under increasing temperature  
353 conditions (BAU, Figure 6a). Under BAU, cropland emissions of NH<sub>3</sub> in 2030 (4.15  
354 Tg NH<sub>3</sub>-N) would exceed the peak level in 1996 (4.02 Tg NH<sub>3</sub>-N) and steadily increase  
355 until 2050 (Figure 6a). NH<sub>3</sub> abatement through optimizing diet composition and cutting  
356 food losses and waste (OFC) could reduce NH<sub>3</sub> emission by 18.4% in 2050 compared  
357 with BAU (Figure 6a). When conducting optimal fertilizer management (OFM), N

358 fertilizer consumption would reduce by 50.5%, inducing a subsequent NH<sub>3</sub> reduction  
359 of 67.4% compared with BAU in 2050 (Figure 6a). To achieve the most ambitious  
360 mitigation target, the ALL scenario combined all the mitigation options identified in  
361 OFW and OFC. The estimated NH<sub>3</sub> emissions of the ALL scenario are 1.28 Tg NH<sub>3</sub>-N  
362 in 2050 (73.6% reduction relative to BAU, Figure 6a). Under scenario ALL, China  
363 would show a quite low cropland-NH<sub>3</sub> emission intensity (0.43 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>) in  
364 2050, which is closer to that of the USA (0.42 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>) and the EU (0.39 g  
365 NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>).

366       Spatially explicit information of NH<sub>3</sub> mitigation potential could help us to identify  
367 specific crops and hotspot areas, which may be attractive ‘mitigation targets’. We  
368 ranked gridded mitigation potentials from largest to smallest, and then added the value  
369 to the sum of its predecessors, resulting in cumulative mitigation potential up to a given  
370 point of sowing area. Figure 6b and 6c shows the uneven distribution of NH<sub>3</sub> mitigation  
371 potentials across Chinese croplands. A half of the NH<sub>3</sub> emission reduction could be  
372 achieved on 24% of sowing area for maize, 30% for wheat, 19% for rice, and 26% for  
373 all crops together (Figure 6b). Total mitigation potentials were concentrated in Huaihe  
374 (Yellow River) Basin, which contributed about half of the total. This result implies the  
375 importance of this region on crop production and highlights the benefit of focusing on  
376 a small area that could deliver large NH<sub>3</sub> mitigation.

#### 377 **4. DISCUSSION & CONCLUSIONS**

378       Our study provides evidence in the decoupling of NH<sub>3</sub> emission from crop  
379 production since 1995 at the national level. Four critical policies (Table 1) since mid-

380 1990s contributed to a decoupling and probably cut nearly half of the cropland-NH<sub>3</sub>  
381 emission in 2017. Of all, national STNR Program and EUP guide appear to be the most  
382 effective policies. Still, increasing population, GDP and climate warming indicate a 140%  
383 increase in crop NH<sub>3</sub> emissions in 2050 when compared with 2017. Our result reveals  
384 both the achievements in alleviating cropland-NH<sub>3</sub> emission in past few decades and  
385 future challenges in re-increasing NH<sub>3</sub> emission of China.

386 Fertilizer-induced increase in NH<sub>3</sub> emissions are universal worldwide after the  
387 invention of the Haber-Bosch process. To mitigate the negative effects, some directive,  
388 policy and mitigating options were implemented in high-income countries at the  
389 beginning of 21<sup>st</sup> century (Bittman 2017; UNECE 1999). Though the lack of the  
390 comprehensive assessment of these policies on NH<sub>3</sub> mitigation, we can see a declining  
391 cropland-NH<sub>3</sub> emission trend (at  $-0.6 \text{ Gg N year}^{-1}$ ) in Europe and a stagnation in  
392 cropland-NH<sub>3</sub> emissions from North America since the 1980s (Xu et al., 2019). As the  
393 largest emitter of cropland-NH<sub>3</sub> emissions in the world (Zhan et al., 2021), China has  
394 also implemented action plans to improve N use efficiency and reduce environmental  
395 pollution since 1990s (Jiao et al., 2018). Our results provide evidence that cropland-  
396 NH<sub>3</sub> emissions have been increasingly mitigated in China while not compromising crop  
397 production.

398 Challenges of NH<sub>3</sub> abatement are universal across the rapidly developing countries  
399 of the world. Developing countries which fall into two groups need to pay more  
400 attention to NH<sub>3</sub> mitigation while improving crop yield. The first category includes  
401 Pakistan and India (Shahzad et al., 2019), which sustain the crop yields largely by

402 relying on high N application rate (Zhan et al., 2021). The second category mainly  
403 includes countries in sub-Saharan Africa, where agricultural production needs to  
404 improve urgently to keep pace with the rapid population growth (Hong et al., 2021). All  
405 the situations portend an intensive application of N-fertilizer to the cropland in these  
406 countries, a situation similar to that of China. China's experience could provide a guide  
407 and a paradigm shift for above-mentioned countries, on managing N cycles under the  
408 balance of agricultural development and controlling NH<sub>3</sub> pollution. However, not all  
409 the measures can be applied well for other regions, some techniques are restricted in  
410 applicability by their effectiveness or practical limitation. These limitations may be of  
411 very different nature, caused by local climate, soil conditions (pH, slope), farm size,  
412 financial and technical issues. Therefore, implementation of NH<sub>3</sub> abatement measures  
413 should follow their applicability and be adjusted to local conditions (Zhang et al., 2020).

414 Even if our results show that the cropland-NH<sub>3</sub> emission can be effectively  
415 managed by related policies across China (Figure 4), further work needs to be done to  
416 determine the reliability of our estimates. In this study, we translated the effects of four  
417 policies on the related key driving parameters directly. Physical and socio-economic  
418 barriers, farmers' adaptive behavior from policy enactment to implementation need to  
419 be considered through specific approaches, such as econometric models (Huang et al.,  
420 2016; Wang et al., 2015) and socioeconomic studies (Scricciu 2011). Therefore, our  
421 estimates may provide the most optimistic NH<sub>3</sub> reductions of these policies. Another  
422 limitation is that our model does not take irrigation practices into account (Sommer et  
423 al., 2004), which may lead to the overestimation of NH<sub>3</sub> VRs and emissions. Besides,

424 we assumed the consistent fertilizer placement for rice, vegetables, fruits and other  
425 crops according to the universal practice in China. This may distort the spatiotemporal  
426 trend of  $\text{NH}_3$ -VRs for above crops. For example, few farmers also deployed manual  
427 deep fertilization or side-deep fertilizer machinery in paddy fields, which largely  
428 reduced the  $\text{NH}_3$ -VRs of rice when compared with broadcasting application.

429 Future growth in population and incomes is likely to further boost food demand  
430 and hinder previous efforts to suppress the increasing cropland- $\text{NH}_3$  emissions (Figure  
431 6a). The Chinese government has strictly limited the input of synthetic fertilizer as well  
432 as setting ambitious goals to improve crop NUE (Liu et al., 2016). China also launched  
433 the “Strategy of taking potato as the fourth staple food” in 2015 (MARA 2015b). This  
434 policy showed a large potential to reduce  $\text{NH}_3$  emissions because potatoes, which  
435 generally grow in cold regions, exhibit lower VRs (8.8%) than rice (19.1%), maize  
436 (20.7%) and wheat (11.5%) (Figure S7). However, barriers exist to promote further  
437 technologies to mitigate crop- $\text{NH}_3$  emission in China. First, adjustment of fertilizer  
438 types (e.g. replacing urea by nitrate N-fertilizer) and deep placement often result in  
439 pollution swapping between environmental media. For example, fertilizer incorporation  
440 can reduce  $\text{NH}_3$  emissions, but may lead to increased nitrate leaching, especially in wet  
441 climates (Zhan et al., 2021). Second, given that poor smallholder farmers still dominate  
442 China’s agricultural production, the transition to large-scale and mechanized  
443 fertilization in China is restricted by inherent social barriers and weak technical  
444 foundation, which takes time and effort to overcome (Zhang et al., 2020).

445 Future reductions in consumption of  $\text{NH}_3$ -intensive fertilizers, machines and

446 services need to be further supported by research, policies and financial incentives for  
447 all the major NH<sub>3</sub> emitters of the world. Promoting balanced diets and reducing food  
448 waste to mitigate NH<sub>3</sub> emissions may be critical for the developed countries and rapid  
449 growing economies. Adopting regionally specific-approaches is another efficient  
450 pathway to achieve NH<sub>3</sub> mitigation particularly across the emission hotspots. Our  
451 spatially explicit cropland-NH<sub>3</sub> emission data could be used to support and guide the  
452 development of such interventions, which may include inter-provincial cooperation,  
453 national or international food trade (Shan et al., 2021). The ambitious goal should be  
454 designed in segments, and cost-benefit analysis could be helped to provide guidance  
455 for emerging policy priorities in reducing NH<sub>3</sub> pollution (Zhang et al., 2020).  
456 Meanwhile, China plays an important role in the South-South co-operation via South-  
457 South trade and the Belt and Road Initiative, especially in the technology extension of  
458 crop planting and machine application (Shan et al., 2021). The experience and status  
459 quo of NH<sub>3</sub> emissions and policy induced abatement in China may have implications  
460 for other developing economies to achieve cropland's NH<sub>3</sub> mitigation while sustaining  
461 crop yields.

## 462 **SUPPORTING INFORMATION**

463 Extended explanation of cropland-NH<sub>3</sub> VR model, datasets, scenario simulation,  
464 comparison with previous estimates, and associated supplementary Tables and Figures  
465 are all available free of charge at <http://pubs.acs.org>.

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## 474 **AUTHORSHIP CONTRIBUTIONS STATEMENT**

475 Wulahati Adalibieke: Methodology, Formal analysis, Visualization. Xiaoying Zhan:  
476 Investigation, Results Interpretation, Writing original draft. Xiaoqing Cui: Resources,  
477 Data curation. Stefan Reis: Writing - review & editing. Wilfried Winiwarter: Writing -  
478 re-view & editing. Feng Zhou: Conceptualization, Writing - review & editing, Funding  
479 acquisition, Project administration.

## 480 **CONFLICTS OF INTEREST**

481 The authors declare no conflicts of interest.

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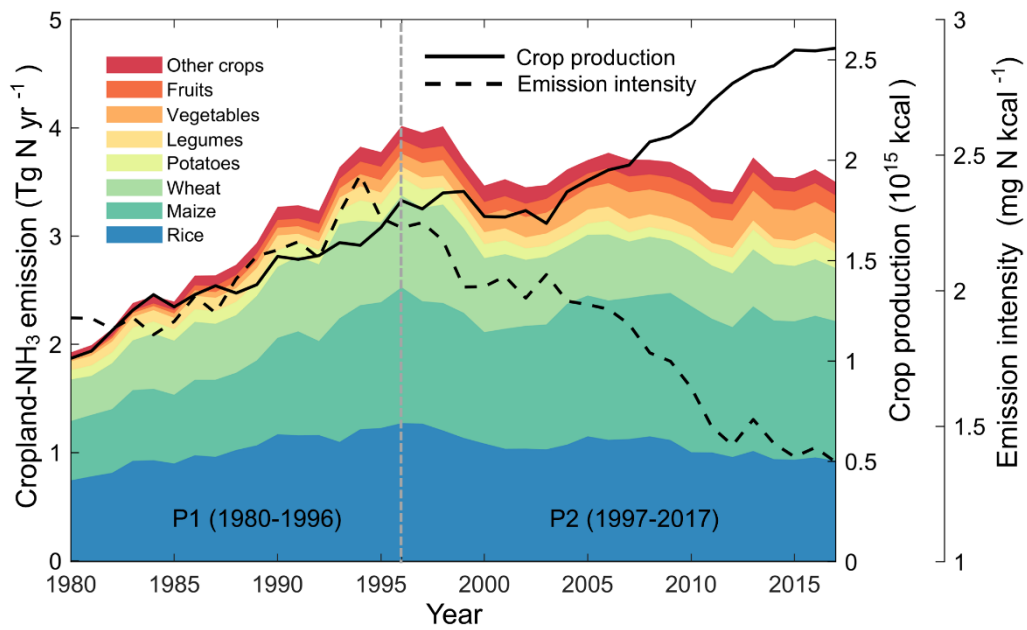
**TABLE 1 Policies on fertilization and crop structure issued by the Chinese Government since mid-1990s**

Policy name	Acronym	Starting year	Related parameter driving NH <sub>3</sub> emissions	Description
Agricultural Cost-saving and Efficiency-increasing Program	ACE	1994	Incorporation proportion of synthetic-N fertilizer	Implement deep fertilization machine to increase fertilizer use efficiency and save agricultural cost for field crops (Wu 2000)
Vegetable Basket Program	VTB	Phase I: 1988 Phase II: 1995	Crop structure	Encourage the growth of cash crops, especially vegetables and fruits, around cities to meet increased consumption requirements (Bai et al., 2018)
Encouragement of urea production guideline	EUP	1996	Fertilizer form	Encourage medium- and small- size manufacturers upgraded production devices towards high concentration N fertilizer (i.e. urea, with 46% N content) to replace ammonium bicarbonate (17% N content) (Li 2009)
National Soil Testing and Nutrient Recommendation Program	STNR	2005	N application rate	Optimize nutrient management through soil testing (MARA 2015a)

**TABLE 2 Cropland-NH<sub>3</sub> mitigation pathways in future**

Scenario	Acronym	Main consequence	Key indicators in 2050		
			Sowing area (10 <sup>8</sup> ha)	N fertilizer rate (kg N ha <sup>-1</sup> )	N fertilizer input (Tg N yr <sup>-1</sup> )
Business as usual	BAU	Increased sowing area and N fertilizer input; Increased NH <sub>3</sub> loss in cropland	2.0	213.5	42.7
Optimized fertilizer management	OFM	Reduced use of chemical fertilizer; Reduced NH <sub>3</sub> loss in cropland; Improved N use efficiency	2.0	105.5	21.1
Optimized food consumption	OFC	Reduced food loss and waste; Reduced net land requirement and N fertilizer input for crop production	1.8	203.9	36.7
Combined all the mitigation measures	ALL	Combined consequence of scenarios OFM and OFC	1.8	100	18

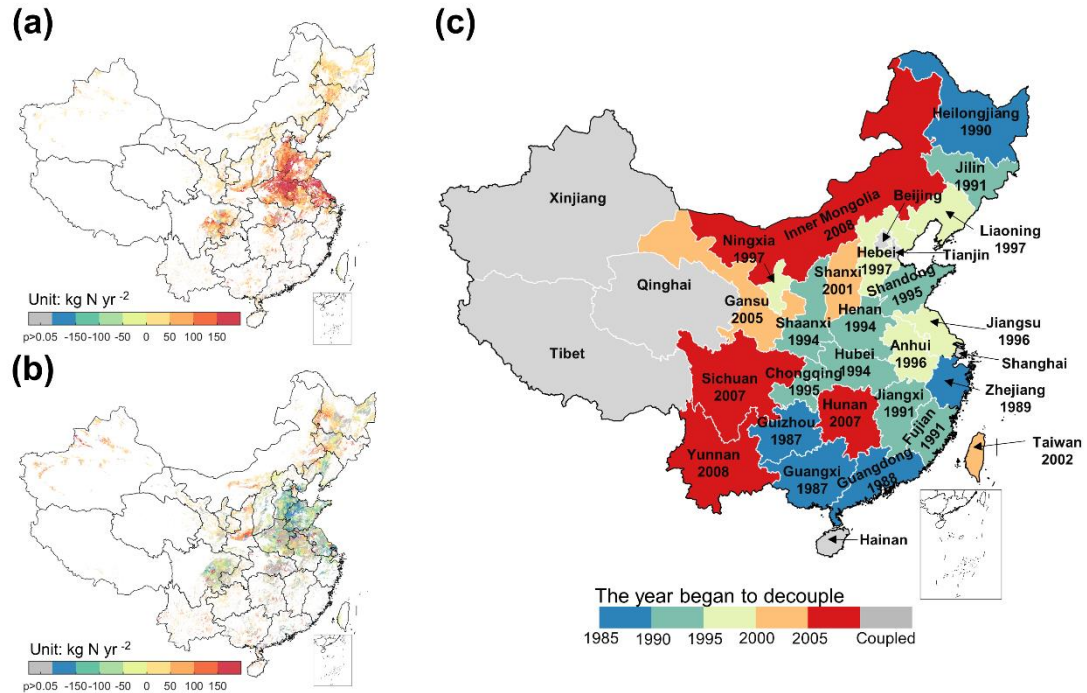




173

174 **FIGURE 1** The interannual variabilities of cropland-NH<sub>3</sub> emissions, crop  
 175 **production and NH<sub>3</sub> emission intensity in China.** The national mean emission  
 176 intensity was defined as the cropland-NH<sub>3</sub> emission divided by total crop production  
 177 (in kilocalories, [Table S7](#)) at national scale.

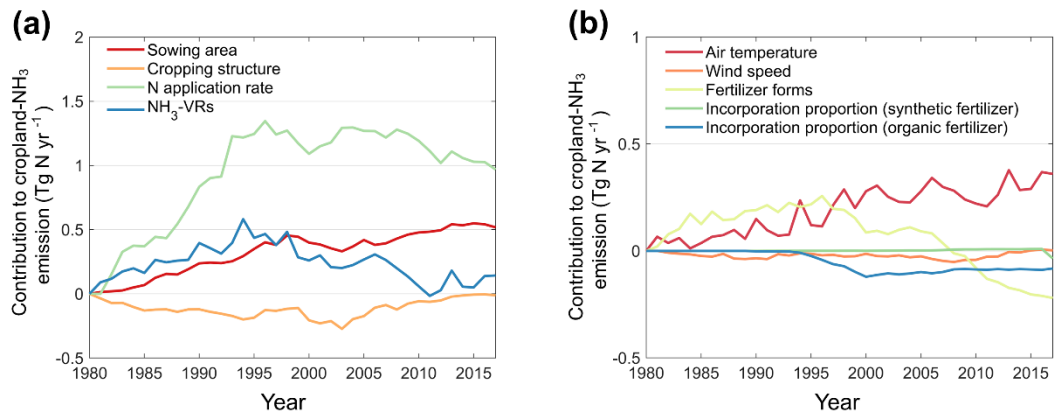
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179

180 **FIGURE 2** Spatial pattern of China's cropland-NH<sub>3</sub> emission trends and the  
 181 **breakpoint at province scale.** Panels a and b represent the spatial pattern of cropland-  
 182 NH<sub>3</sub> emission trends in P1 (1980-1996) and P2 (1997-2017) respectively. Panel c  
 183 represents the year began to decouple its NH<sub>3</sub> emission from crop production, that is,  
 184 the year which emission intensity turned to significant decrease ( $P < 0.05$ ) at province  
 185 scale. Piecewise linear regression was applied to detect the provincial breakpoint  
 186 following Zhou et al. (2020, see [Text S8](#)).

187



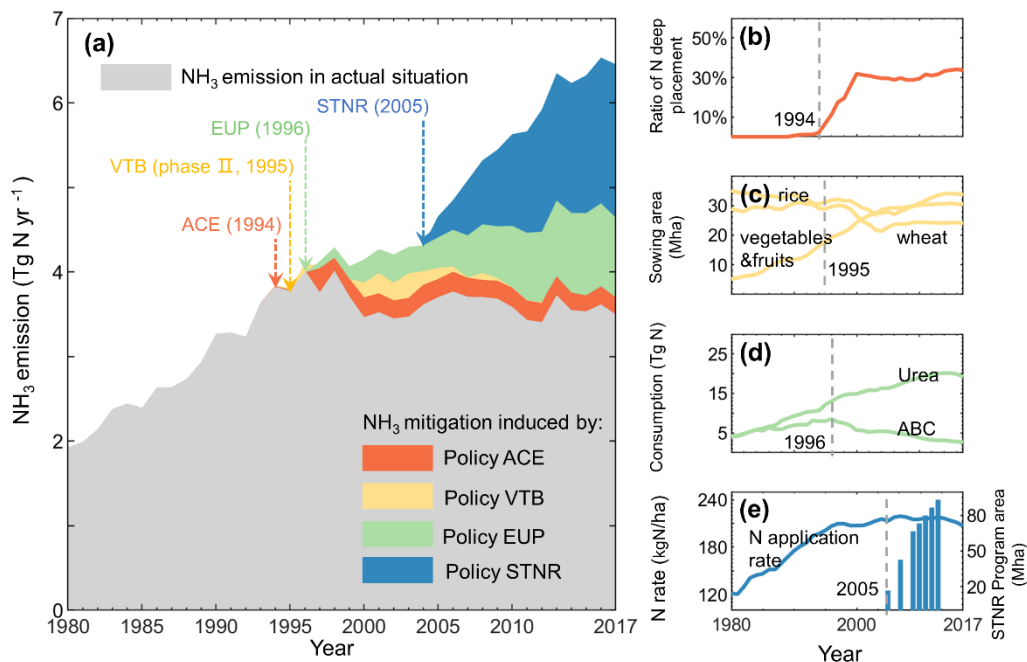
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189 **FIGURE 3 Contributions of driving factors to China's cropland-NH<sub>3</sub> emission and**

190 **NH<sub>3</sub>-VRs.** Panels **a** represents four main driving factors' contributions to cropland-NH<sub>3</sub>

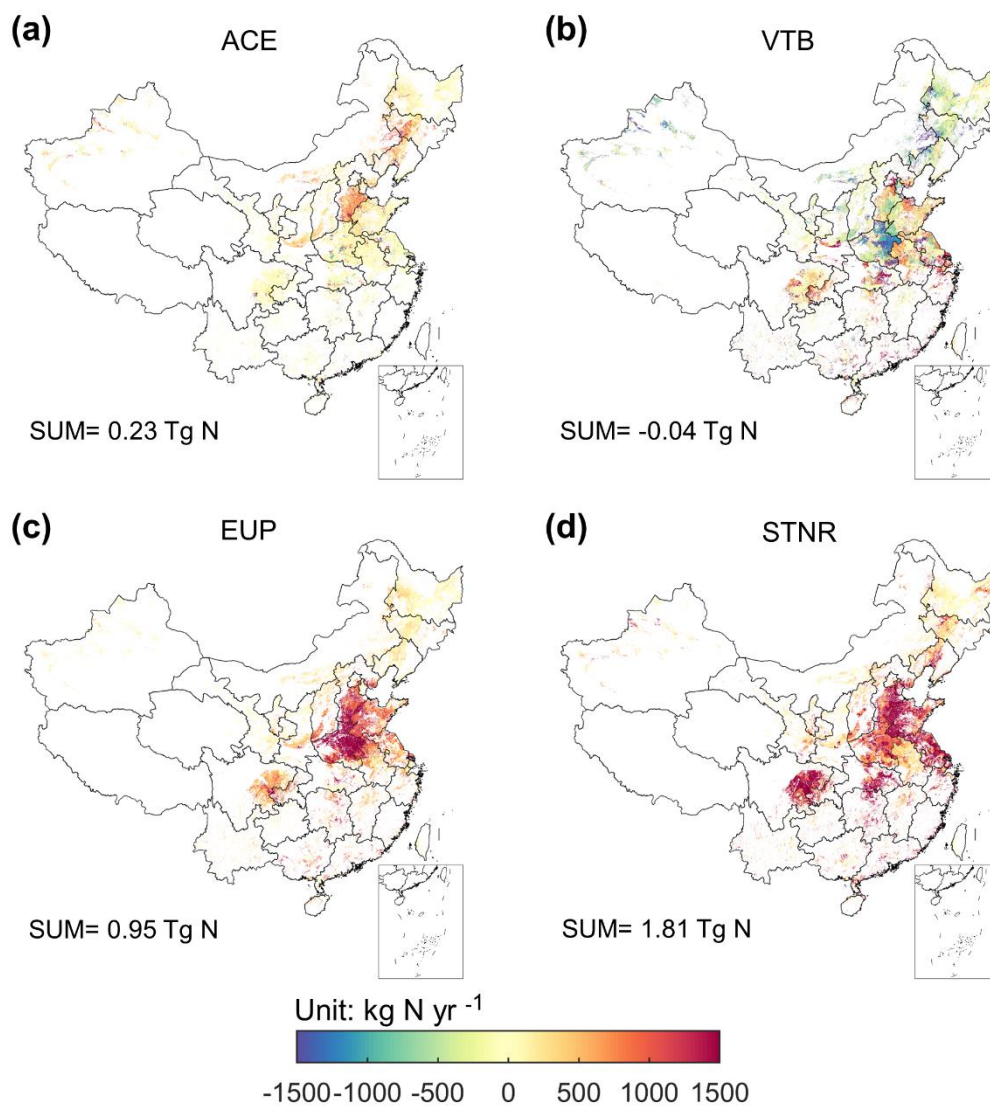
191 emission. Panels **b** represents five secondary driving factors' contributions to NH<sub>3</sub>-VRs.

192



193

194 **FIGURE 4 Changes of N application rate, forms, placement, crop structure and**  
 195 **their potential effects on cropland-NH<sub>3</sub> emission from 1980 to 2017. (a)** ACE, VTB,  
 196 EUP and STNR Program represent Agricultural Cost-saving and Efficiency-increasing  
 197 Program, Vegetable Basket Program (Phase II), Encouragement of urea production  
 198 guideline, National Soil Testing and Nutrient Recommendation Program, respectively.  
 199 Detailed descriptions of above four policies can be found in [Table 1](#). **(b)** Share of basal  
 200 fertilizer incorporated by machine for four field crops, i.e. wheat, maize, potatoes and  
 201 legumes. **(c)** Sowing areas of rice, wheat, and vegetables & fruits in China. **(d)**  
 202 Consumption of two forms of alkaline fertilizer, i.e. urea and ammonium bicarbonate  
 203 (ABC). **(e)** N application rate (line), and implementation area of the STNR program at  
 204 national scale (column). After 2013, implementation area of the STNR program is not  
 205 publicly available.

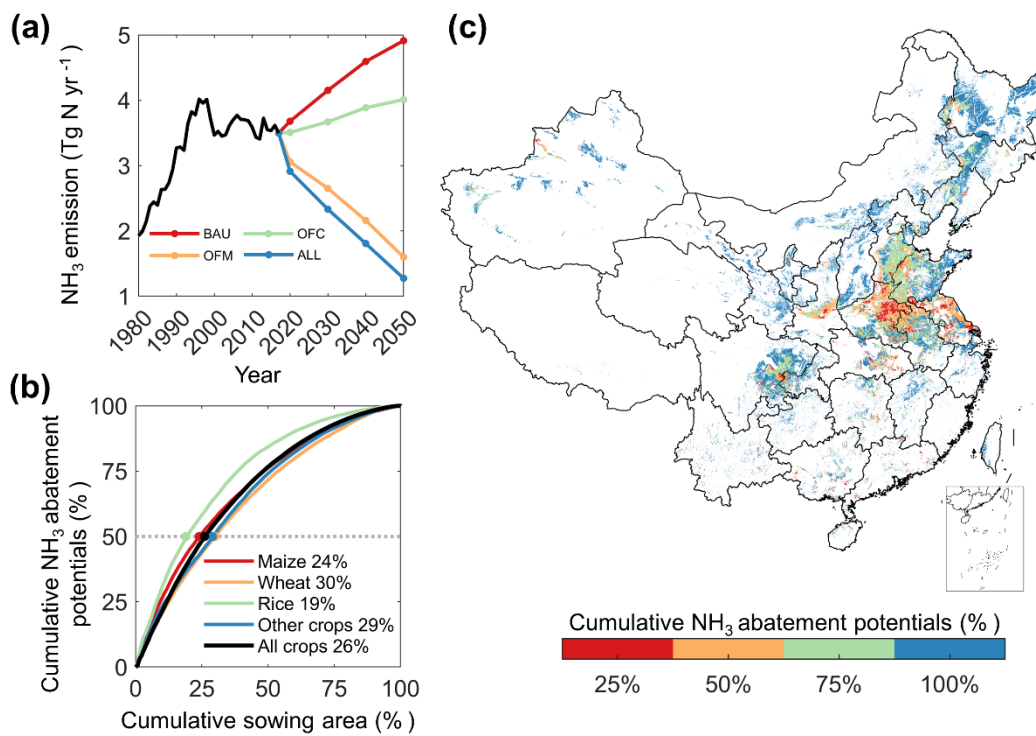


206

207 **FIGURE 5** Cropland-NH<sub>3</sub> mitigation induced by policies implement in 2017. Detail

208 descriptions of four policies can be found in [Table 1](#). Values denote the probable NH<sub>3</sub>

209 reductions induced by each policy at national scale.



210

211 **FIGURE 6 Mitigation potentials of China's cropland-NH<sub>3</sub>.** (a) Future NH<sub>3</sub>

212 emissions under four scenarios; (b) China's cropland-NH<sub>3</sub> mitigation potentials by crop

213 under scenario ALL; (c) Spatial pattern of China's cumulative NH<sub>3</sub> abatement potentials

214 under scenario ALL.